

HercepTest™ Interpretation Manual - Gastric Cancer

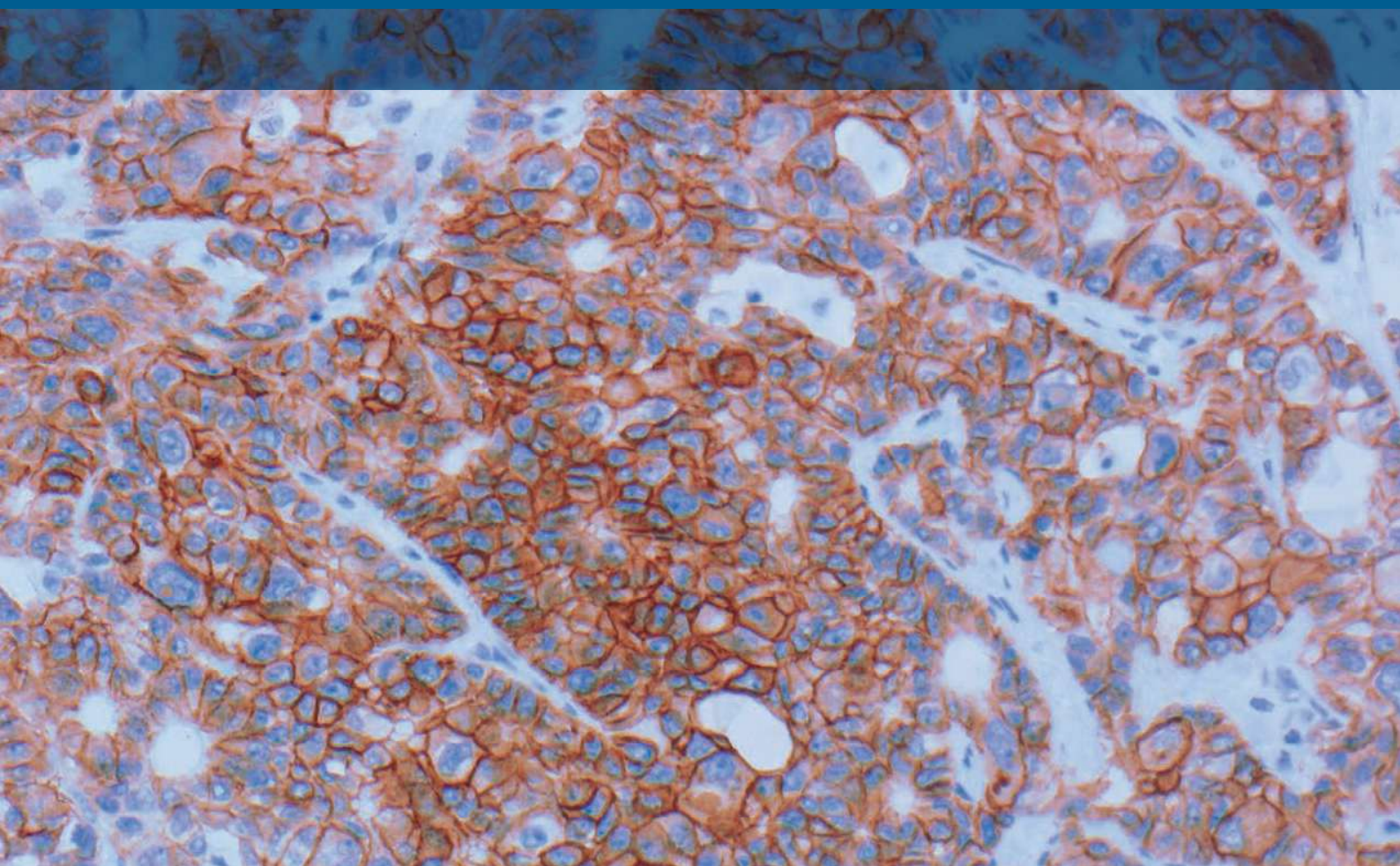


Table of Contents

Introduction	04
HER2 Protein and HER2 Family	05
HER2 Testing Algorithm	06
The HercepTest™ Kit	07
<i>HER2</i> IQFISH pharmDx Kit	08
HercepTest™ Training Checklist	09
Technical Considerations for Optimal HercepTest™ Performance	11
Review of HercepTest™ Scoring Guidelines	14
Complete, Basolateral and Lateral HER2 Staining	18
Cut-off Numbers	19
Recommendation for Interpretation of HercepTest™ - Gastric Cancer	20
Magnification and HER2 Score	21
Step-by-Step Evaluation of HercepTest™ Stained Gastric Cancer Specimens	22
Exclude From Scoring	23
Background Staining	27
Heterogenous Staining	28
Homogenous Staining	29
HER2 Expression in Gastric Cancer	30
Staining Images	31
Bibliography	45

Introduction

HercepTest™ Intended Use

HercepTest™ is a semi-quantitative immunohistochemical assay for determination of HER2 protein overexpression in breast cancer tissues routinely processed for histological evaluation and in formalin fixed, paraffin-embedded cancer tissue from patients with adenocarcinoma of the stomach, including gastroesophageal junction.

HercepTest™ is an aid in the assessment of patients for whom treatment with humanized monoclonal antibody to HER2 protein, Herceptin™ (trastuzumab), is being considered. Decision regarding Herceptin™ treatment should be made within the context of the patient's clinical history.

This manual is about interpretation of human FFPE stomach and gastroesophageal junction tissue specimens stained with HercepTest™.

HercepTest™ Interpretation Guidelines

This HercepTest™ Interpretation Manual - Gastric is provided as a tool to help guide pathologists and laboratorians to achieve correct and reproducible results. The goal of this manual is to familiarize you with the requirements for scoring stomach, including gastroesophageal junction adenocarcinomas stained with HercepTest™. Adenocarcinoma of the stomach including gastroesophageal junction is also referred to as gastric cancer in this document. Example cases of various HER2 scores are provided for reference. The HercepTest™ package insert guidelines will be reviewed and technical tips for ensuring high-quality staining in your laboratory will be given. Reviewing this HercepTest™ Interpretation Manual - Gastric will provide a solid foundation for evaluating slides stained with HercepTest™. Stomach or gastroesophageal junction adenocarcinomas tested for HER2 protein expression are given a score from 0 to 3+.

In this manual, we will also focus on the samples that are more difficult to interpret.

HER2 IQFISH pharmDx

Despite the high quality of HercepTest™, clinical response of equivocal gastric specimens has remained an area of uncertainty within HER2 assessment. HER2 IQFISH pharmDx complements HercepTest™ by quantitatively determining HER2 gene amplification and clarifying equivocal cases. HercepTest™ and HER2 IQFISH pharmDx Kit enhance patient care by aiding in proper determination of the appropriate course of treatment.

Photomicrographs

The included photomicrographs are gastric cancer unless otherwise noted.

*Dako is a registered trademark of Dako A/S. HercepTest™ and Herceptin™ are registered trademarks of Genentech, Inc. subject to licenses held by Dako and F. Hoffman-La Roche Ltd. © Agilent Technologies, Inc, 2019.

HER2 Protein and HER Family

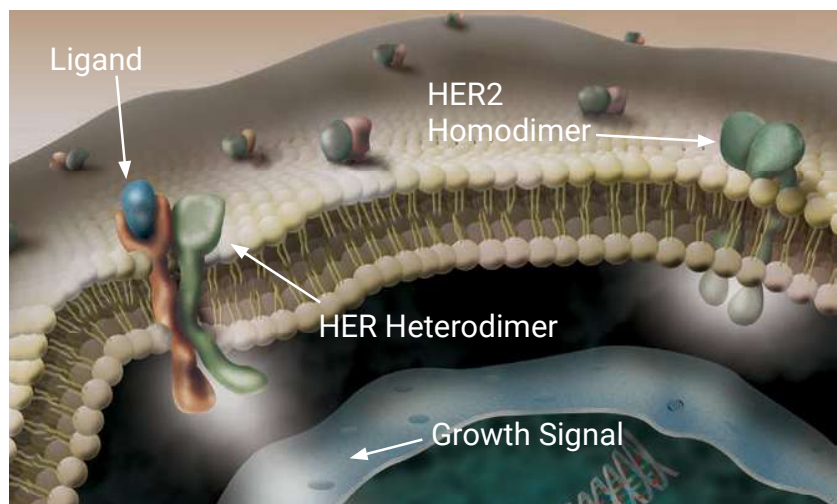
HER2 Overview

The gene encoding HER2 is located on chromosome 17 and HER2 is a member of the *EGF/ErbB* growth factor receptor family, which also includes epidermal growth factor receptor (*EGFR*, or *HER1*), *HER3/erbB3* and *HER4/erbB4*.

All of these genes encode transmembrane growth factor receptors, which are tyrosine kinase type 1 receptors with growth stimulating potential.

Activation of HER family members occurs when the ligand and a dimer of the same monomer (homodimer) or other member of the HER family (heterodimer) are bound together, as shown in the below representation. Once activation has occurred, tyrosine autophosphorylation of cytoplasmic signal proteins transmit signals to the nucleus, thus regulating aspects of cell growth, division, differentiation and migration.

Overexpression of HER2 receptors results in receptors transmitting excessive signals for cell proliferation to the nucleus. This may lead to more aggressive growth of the transformed cell. Data supports the hypothesis that the HER2-transfected cells directly contribute to the pathogenesis and clinical aggressiveness of tumors that overexpress HER2. This overexpression is associated with poor prognosis, including reduced relapse free and overall survival.



Representation of the HER family

HER2 Testing Algorithm

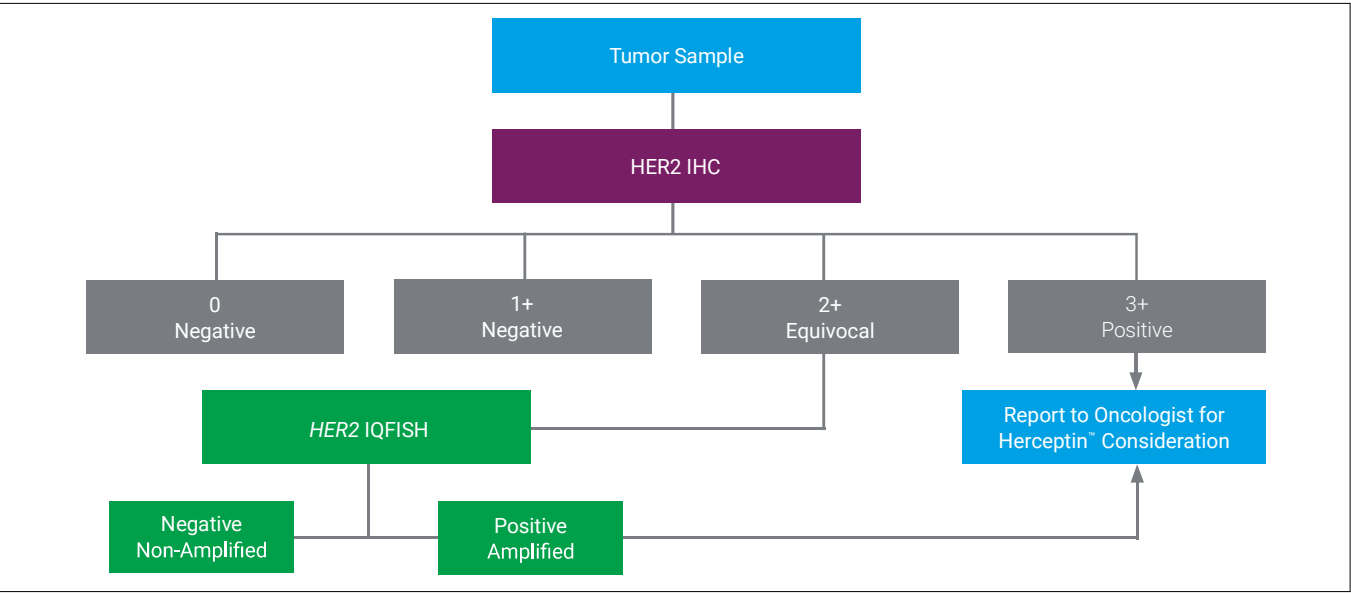


Figure 1: Laboratories performing HER2 testing should meet quality assurance standards.

HER2 Testing IHC and FISH

Immunohistochemistry (IHC) measures the level of HER2 receptor overexpression, while fluorescence in situ hybridization (FISH) quantifies the level of *HER2* gene amplification. Together they are the most commonly used methods of determining HER2 status in routine diagnostic settings.

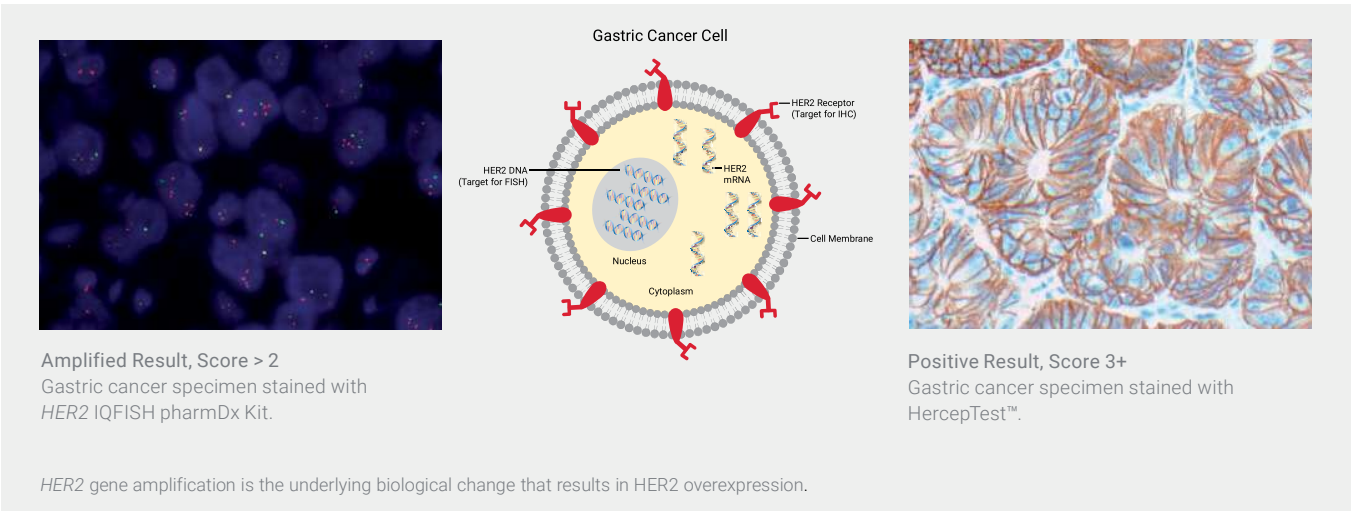


Figure 2: IHC and FISH targets for HER testing.

The HercepTest™ Kit

Step 1

Epitope retrieval
Pre-heat 85 °C, incubate 40 (+/- 1)
minutes at 97 °C and cool to 85 °C.



Step 2

Application of peroxidase block.
Incubate for 5 minutes.



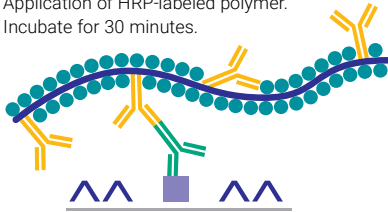
Step 3

Application of primary antibody.
Incubate for 30 minutes.



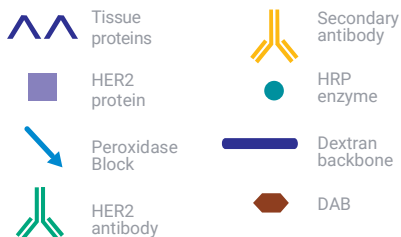
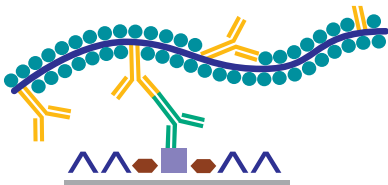
Step 4

Application of HRP-labeled polymer.
Incubate for 30 minutes.



Step 5

Application of chromogenic substrate.
Incubate for 10 minutes.



The HercepTest™ assay is a semi-quantitative immunohistochemical kit system for determination of HER2 protein overexpression in breast cancer tissues routinely processed for histological evaluation and in formalin-fixed, paraffin-embedded cancer tissue from patients with adenocarcinoma of the stomach, including gastroesophageal junction.

Following incubation with the primary antibody to human HER2 protein, this kit employs a ready-to-use Visualization Reagent based on dextran technology. This reagent consists of both secondary goat anti-rabbit molecules and horseradish peroxidase molecules linked to a common dextran polymer backbone, thus eliminating the need for sequential application of link antibody and peroxidase conjugate. The enzymatic conversion of the subsequently added chromogen results in formation of a visible reaction product at the antigen site. The specimen may then be counterstained and coverslipped. Control cell line slides are provided.

The below HercepTest™ kit configuration is available:

Code	Product	No. of tests
SK001	HercepTest™ for Automated Link Platforms	50 tests

HercepTest™ is a complete kit and includes:

- Peroxidase-Blocking Reagent
- Rabbit Anti-Human HER2 Protein
- Visualization Reagent
- Negative Control Reagent
- DAB Substrate Buffer
- DAB Chromogen
- Epitope Retrieval Solution (10x)
- Wash Buffer (10x) (not included in SK001)
- User-Fillable Bottles (only included in SK001)
- Control Slides

Recommended Hematoxylin counterstain (not provided):

Code	Product
S3301	Hematoxylin for the Dako Autostainer
SK308	Hematoxylin for Automated Link Platforms

Figure 3: HercepTest™ Kit procedure

HER2 IQFISH pharmDx Kit

HER2 IQFISH pharmDx Kit is a complete kit and includes:

- Pre-Treatment Solution (20x)
- Pepsin
- Pepsin Diluent (10x)
- HER2/CEN-17 IQISH Probe Mix
- Stringent Wash Buffer (20x)
- Fluorescence Mounting Medium
- Wash Buffer (20x)
- Coverslip Sealant

HER2 IQFISH pharmDx is a direct fluorescence in situ hybridization (FISH) assay for quantitative determination of *HER2* gene amplification in formalin-fixed, paraffin-embedded (FFPE) breast cancer tissue specimens and FFPE specimens from patients with adenocarcinoma of the stomach including gastro-esophageal junction.

The assay is indicated in adjunction to HercepTest™ in the assessment of patients for whom Herceptin™ treatment is being considered.

HER2 IQFISH pharmDx Kit	
K5731	20 Tests

HercepTest™ Training Checklist

Customer Name/Institution _____

Person Trained/Title _____

Automated Link Software Version _____ Automated Link Platform Serial Number _____

Automated Link Platform Procedure

	Yes	No
Control slides and kit stored at 2-8 °C?	☐	☐
Cell Line control slides and all reagents warmed to room temperature (20-25 °C) prior to starting assay?	☐	☐
Tissues fixed in 10% neutral buffered formalin?	☐	☐
Specimens air-dried at room temperature for a minimum of 12 hours (or until dry) or at 37 °C overnight or at 60 °C for one hour?	☐	☐
Specimens stained within 4-6 weeks of tissue mounted on slides when stored at room temperature?	☐	☐
Clearing solutions changed after 40 slides?	☐	☐
Deparaffinization and rehydration protocol followed?	☐	☐
Wash Buffer prepared properly? Prepare sufficient quantity of Wash Buffer by diluting Wash Buffer 10X, 1:10 in Reagent Quality Water (deionized or distilled water).	☐	☐
Distilled or deionized water (not tap water) used for water washes after last alcohol bath in deparaffinization?	☐	☐
Pre-heated the diluted Epitope Retrieval Solution in the PT Link tank to 85 °C?	☐	☐
Epitope Retrieval Solution brought to 97 °C after slides immersed, before 40 minutes incubation started?	☐	☐
Tank with sections being removed from PT Link and placed on the table to cool for 10 mins?	☐	☐
Slides placed in buffer 5-20 minutes before loading onto the Autostainer?	☐	☐
Are slides manually wetted when loaded into the Autostainer?	☐	☐
For each slide, is 200 µL of Primary Antibody or Negative Control Reagent applied?	☐	☐
Additional drop zone for larger sections added?	☐	☐
Did you mix an appropriate amount of DAB Buffered Substrate with 25 µL DAB Chromogen pr 1 mL DAB Substrate Buffer?	☐	☐
Have sections dried out any time during the procedure?	☐	☐
Hematoxylin for Automated Link Platforms, Code SK308 used?	☐	☐

Instrumentation/Equipment

	Yes	No
Is regular preventative maintenance performed on the Autostainer Link Platform?	☐	☐
Is Maintenance performed according to guidelines?	☐	☐
Is regular slide position test performed?	☐	☐
Have slide racks been changed every 175 PT Link pre-treatment cycle?	☐	☐
Do you have all the necessary equipment to perform the HercepTest™ assay according to protocol?	☐	☐

If not, specify what is missing in comments below.

If you answered "No" to any of the above, you have deviated from protocol and should consult with your local Agilent Technical Support Representative for assistance.

Additional observations or comments:

Technical Considerations for Optimal HercepTest™ Performance

Technical problems relating to the performance of HercepTest™ may arise in two areas, those involving sample collection and preparation prior to performing the test, and those involving the actual performance of the test itself. Technical problems relating to the performance of the test generally are related to procedural deviations and can be controlled and eliminated through training and, where necessary, clarification of the product instructions.

Protocol Recommendations

Pre-treatment Using Water Bath

Water Bath

Heat HercepTest™ Epitope Retrieval Solution in a calibrated water bath capable of maintaining the required temperature of 95-99 °C. For best results, fill a container suitable for holding slides with diluted Epitope Retrieval (1:10) Solution. Place container with Epitope Retrieval Solution in a water bath and bring the temperature of the water bath and the Epitope Retrieval Solution to 95-99 °C. Add the tissue sections mounted on slides to the container and bring the temperature of the Epitope Retrieval Solution back to 95 °C before starting the timer.

Incubation Time

Incubate the slides for 40 (±1) minutes in the preheated Epitope Retrieval Solution. Remove the container with the slides from the water bath, but keep them in the Epitope Retrieval Solution while allowing them to cool for 20 (±1) minutes at room temperature. After cooling, decant the Epitope Retrieval Solution and rinse in Wash Buffer. For optimal performance, soak sections in Wash Buffer for 5-20 minutes after epitope retrieval and prior to staining.

Pre-treatment Using PT Link

Preheat the diluted Epitope Retrieval Solution (1:10) in the Dako PT Link tank to 85 °C. Place the room temperature, deparaffinized sections in Autostainer racks and immerse the slides into the preheated Epitope Retrieval Solution. Let the PT Link warm up to 97 °C and incubate for 40 (±1) minutes at 97 °C. Leave the sections to cool in the PT Link until the temperature reaches 85 °C. Remove the PT Link tanks with the sections from the PT Link and leave the tanks on the table for 10 minutes with the lid off for further cooling. Prepare a jar/tank e.g., the PT Link Rinse Station, with diluted Dako Wash Buffer. Decant the Epitope Retrieval Solution and rinse sections in the Wash Buffer. For optimal performance, soak sections in Wash Buffer for 5-20 minutes after epitope retrieval and prior to staining.

Only dedicated PT Link equipment can be used for HercepTest™. Pre-treatment using PT Link is currently validated for HercepTest™ for Automated Link Platforms.

Proper Incubations

All incubation times should be performed according to the package insert. Stay within ± 1 minute of all incubation times. If staining must be interrupted, slides may be kept in Wash Buffer following incubation of the primary antibody for up to one hour at room temperature (20-25 °C).

Automated Staining

We recommend the use of HercepTest™ on a Dako Autostainer or Automated Link Platform. Use of HercepTest™ on alternative automated platforms has not been validated and may give erroneous results.

Wash Buffer

Dilute the recommended Wash Buffer 1:10 using distilled or deionized water. Store unused diluted solution, at 2-8 °C up to one month. Discard diluted solution, if cloudy in appearance.

Storage of Reagents

Reagents should be stored at 2-8 °C. Do not use after the expiration date stamped on the outside package.

Tissue Processing Considerations

Procedural deviations related to sample handling and processing can affect HercepTest™ results. Some of the variables that affect outcome are as follows:

- Specimens drying prior to fixation
- Type of fixative (only neutral-buffered formalin is recommended)
- Temperature, age, storage, pH of fixative
- Length of fixation, specimen size, ratio of size to fixative volume
- Length of time in alcohol after primary fixation
- Processing time, temperature, pressure and chemicals used
- Storage of paraffin blocks
- Storage of cut sections
- Section thickness

Tissue Processing Recommendations

Validated Fixatives

Neutral-Buffered Formalin

Fixation Times

Neutral-Buffered Formalin:

- 18-24 hours, surgical specimens*

Time to fixation and duration of a fixation, if available, should be recorded for each sample.

Specimen Thickness

Tissue samples submitted for processing and embedding should not exceed 3-4 mm in thickness.

Processing and Embedding

After fixation, tissues are dehydrated in a series of alcohols and xylene, followed by infiltration by melted paraffin held at no more than 60 °C. Overheating of tissues during embedding or overheating of sections during drying can induce detrimental effects on immunostaining and, therefore, should be avoided.

The slides required for HER2 protein evaluation and tumor presence should be prepared at the same time. To preserve antigenicity, tissue sections, mounted on slides, should be stained within four to six weeks of sectioning when held at room temperature, 20-25°C. Tissue sections should be cut into a thickness of 4-5 µm.

*Biopsy specimens included in the ToGA trial were fixed for 6-8 hours.

Ref.: Bang YJ, Van Cutsem E, Feyereislova A, Chung HC, Shen L, Sawaki A et al. Trastuzumab in combination with chemotherapy versus chemotherapy alone for treatment of HER2-positive advanced gastric or gastro-esophageal junction cancer (ToGA): a phase 3, open-label, randomized controlled trial. Lancet 2010.

Review of HercepTest™ Scoring Guidelines

HercepTest™ is a semi-quantitative immunohistochemical assay to determine HER2 protein overexpression in breast cancer tissue routinely processed for histological evaluation and in formalin-fixed, paraffin-embedded cancer tissues from patients with adenocarcinoma of the stomach, including gastroesophageal junction. For the determination of HER2 protein overexpression, only the membrane staining intensity and pattern should be evaluated using the scale presented on page 16. Slide evaluation should be performed using a bright field microscope.

Validation of the Assay

Included in each HercepTest™ kit are control slides representing different levels of HER2 protein expression: MDA-231(0), MDA-175 (1+) and SK-BR-3 (3+). The first step of interpretation is to evaluate the control cell lines. The control cell lines have been provided for qualifying the procedure and reagents, not as an interpretation reference. No staining of the 0 control cell line, MDA-231, partial brown membrane rimming in the 1+ control cell line, MDA-175, (refer to the Interpretation Guide for 1+ Control Cell Line on the next page), and presence of complete strong brown membrane staining (rimming) in the 3+ control cell line, SK-BR-3, indicates a valid assay. If any of the control cell lines perform outside of these criteria, all results with the patient specimens should be considered invalid.

Next, the positive tissue control slide known to contain the HER2 antigen, stained with HercepTest™ and fixed and processed similarly to the patient slides, should be evaluated for indication of correctly prepared tissue and proper staining technique. The ideal positive tissue control is weakly positive. The presence of a brown reaction product at the cell membrane is indicative of positive reactivity. Verify that the negative tissue control slide from the same staining run demonstrates no reactivity.

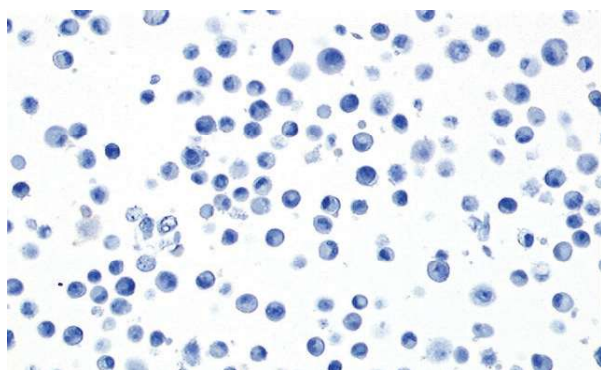


Figure 4: 0 control cell line, MDA-231, stained with HercepTest™. No staining of the membrane is observed. (20x magnification)

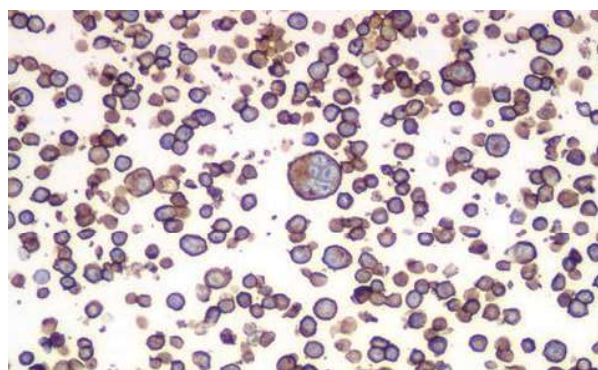


Figure 5: 3+ control cell line, SK-BR-3, stained with HercepTest™. A strong staining of the entire membrane is observed. (20x magnification)

Interpretation Guide for 1+ Control Cell Line

The 1+ control cell line can display different categories of HER2-specific cellular staining. Cells displaying a partial brown membrane rimming, where the immunostaining is punctate and discontinuous (Fig. 6, 1a), are the true indicators of a valid staining run. In some cells, the partial brown membrane rimming is more borderline (but still considered positive) consisting of a punctate and discontinuous immunostaining of both membrane and cytoplasm (Fig. 6, 1b). The borderline cells depicted here may reflect the difference in quality between images and true microscopy. In a normal IHC staining run of the 1+ control cell line, few cells will display a circumferential brown cell membrane staining (Fig. 6, 2). In addition, in some cells dot-like immunostaining can be observed in the Golgi region of the cytoplasm (Fig. 6, 3).

The different categories of HER2-specific cellular stainings may be reflected in the different appearances of acceptable 1+ cellular staining runs, e.g. low (Fig. 7 and moderate (Fig. 8).

Figure 6: The 1+ control cell line, MDA-175 (20x), may display different categories of HER2-specific cellular stainings. Only the HER2 specific staining displayed as a partial brown membrane rimming – is used to validate the staining run.

Note: The image only represents approximately 50% of a 20x microscope visual field.

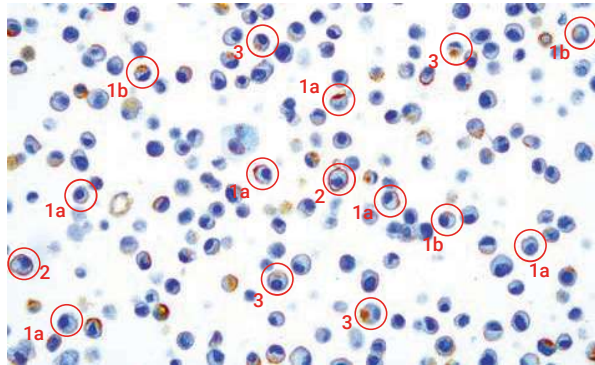


Figure 7: 1+ control cell line, MDA-175 (20x), acceptable staining run with punctate and discontinuous membrane staining in a small number of cells. The “low-limit appearance” may reflect the difference in quality between images and true microscopy.

Note: The image only represents approximately 50% of a 20x microscope visual field.

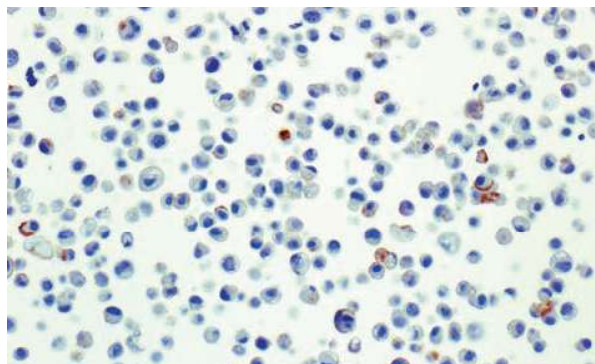
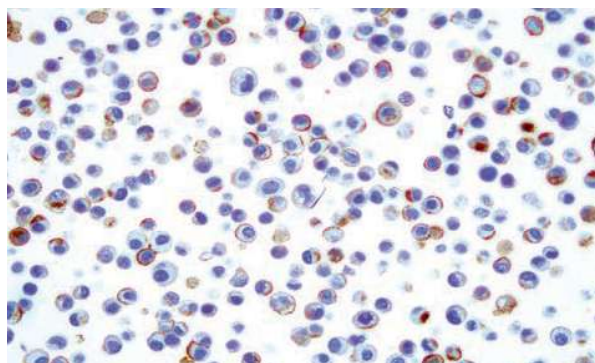


Figure 8: 1+ control cell line, MDA-175 (20x), acceptable staining run with punctate and discontinuous membrane staining in a moderate number of cells.

Note: The image only represents approximately 50% of a 20x microscope visual field.



Guidelines for Scoring

Use of the attached scoring system has proved reproducible both within and among laboratories. We recommend that scoring should always be performed within the context of the pathologist’s past experience and best judgment in interpreting IHC stains. Only specimens from patients with gastric or gastroesophageal junction adenocarcinoma, should be scored. In cases with intestinal metaplasia and adenocarcinoma in the same specimen, only the adenocarcinoma component should be scored. For interpretation of HercepTest™ stained biopsies a cluster of at least 5 stained tumor cells is recommended. Figure 9 shows examples of staining patterns.

Surgical Specimens			
	Score to Report	HER2 Protein Overexpression Assessment	Staining Pattern
	0	Negative	No reactivity or membranous reactivity in < 10% of tumor cell
	1+	Negative	Faint/barely perceptible membranous reactivity in ≥ 10% of tumor cells. Cells are reactive only in part of their membrane.
	2+	Equivocal	Weak to moderate complete, basolateral or lateral membranous reactivity in ≥ 10% of tumor cells
	3+	Positive	Strong complete, basolateral or lateral membranous reactivity in ≥ 10% of tumor cells
Guidelines based on Hofmann M, Stoss O, Shi D, Büttner R, van de Vijver M, Kim W, et al. Assessment of a HER2 scoring system for gastric cancer: results from a validation study. Histopath 2008; 52:797–805.			
Biopsy Specimens			
	Score to Report	HER2 Protein Overexpression Assessment	Staining Pattern
	0	Negative	No reactivity or no membranous reactivity in any (or < 5 clustered) tumor cell
	1+	Negative	Tumor cell cluster (> 5 cells) with a faint/barely perceptible membranous reactivity irrespective of percentage of tumor cells stained
	2+	Equivocal	Tumor cell cluster (> 5 cells) with a weak to moderate complete, basolateral or lateral membranous reactivity irrespective of percentage of tumor cells stained
	3+	Positive	Tumor cell cluster (> 5 cells) with a strong complete, basolateral or lateral membranous reactivity irrespective of percentage of tumor cells stained
Guidelines based on Hofmann M, Stoss O, Shi D, Büttner R, van de Vijver M, Kim W, et al. Assessment of a HER2 scoring system for gastric cancer: results from a validation study. Histopath 2008; 52:797–805.			

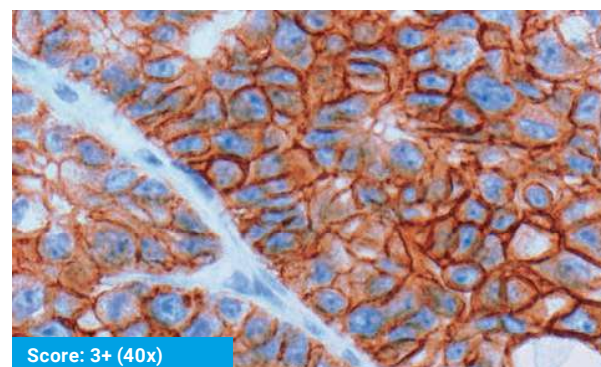
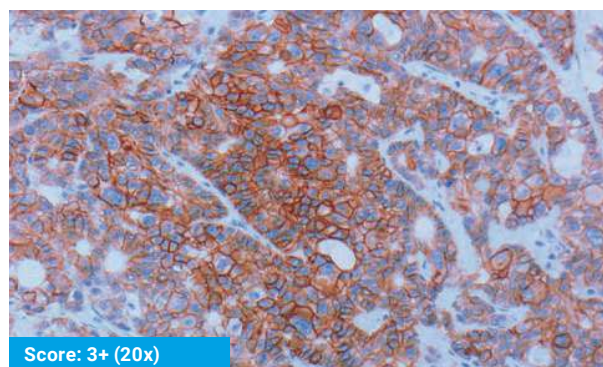
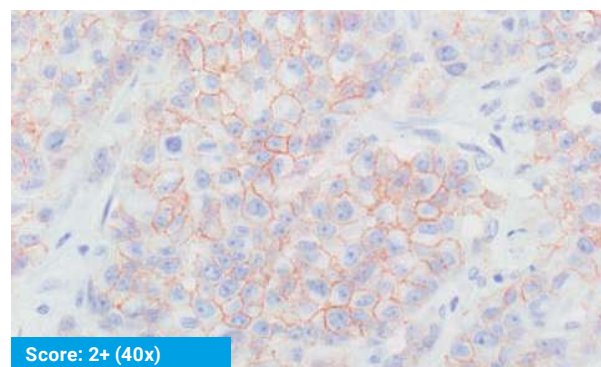
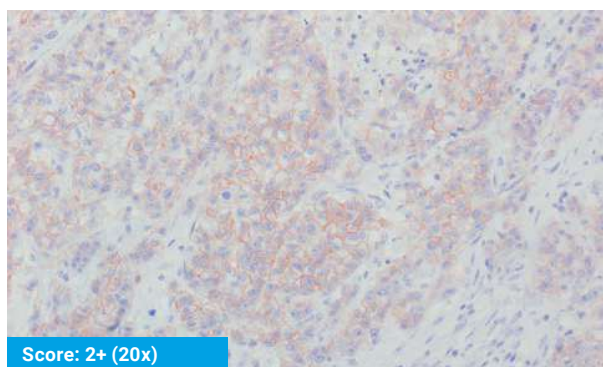
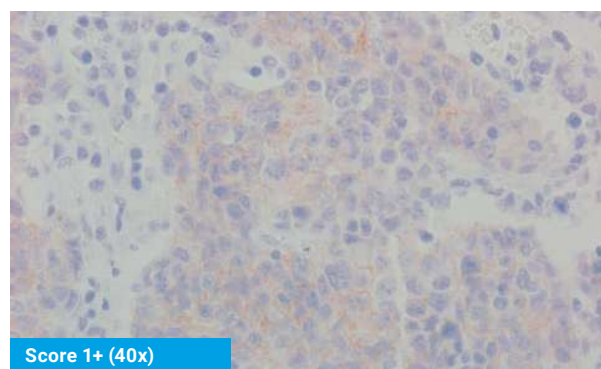
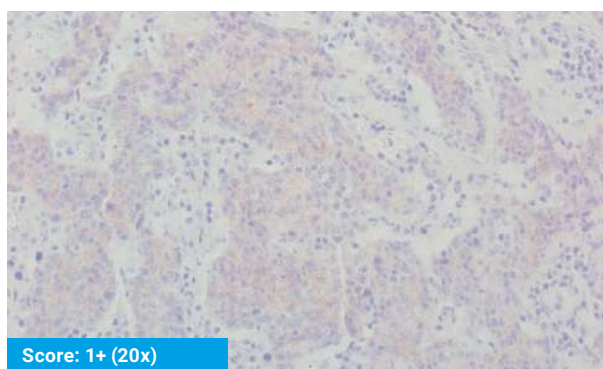
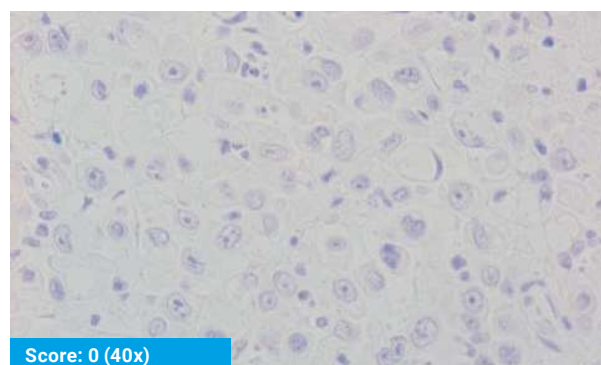


Figure 9: Example of staining patterns for tissue scored 0, 1+, 2+ and 3+ at both 20x and 40x objective magnification.

Complete, Basolateral and Lateral HER2 Staining

Incomplete HER2 membrane staining (basolateral and lateral) is common in gastric tissue, and is caused by glandular formations. A basolateral staining is a staining without luminal staining (making the membrane appear "U" shaped). A lateral membrane staining is a staining without luminal and basal staining (making the membrane appear "II" shaped) (Figure 10).

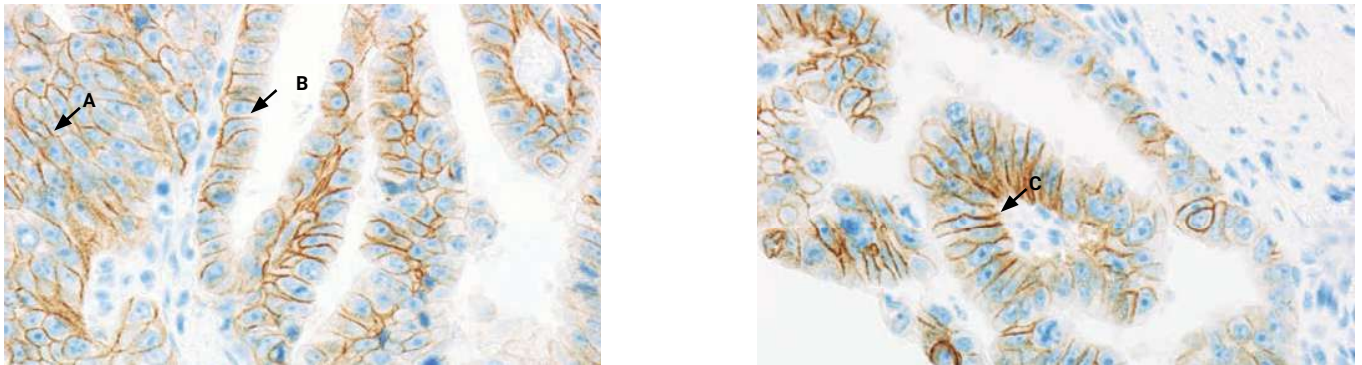


Figure 10: Gastric cancer. Score 3+, HercepTest™ stains showing complete (A), basolateral (B) and lateral (C) HER2 membrane staining. 40x objective magnification.

Cut-off Numbers

Due to a high degree of heterogeneity in gastric cancer tissue the cut-off number for HER2 positive stained tumor cells is different for surgical and biopsy specimens. The cut-off for surgical specimens is 10% of positive stained tumor cells (Figure 11) and for biopsy specimens it is a cluster of at least 5 positive stained tumor cells (Figure 12).

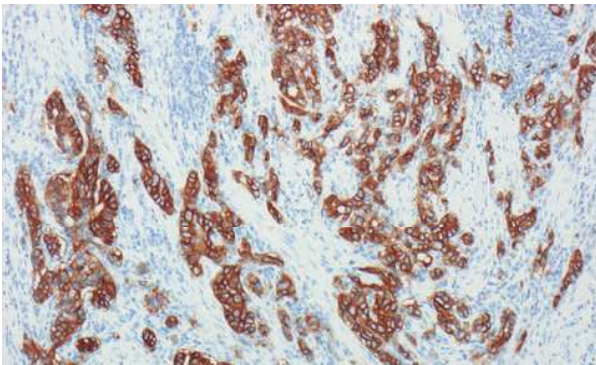


Figure 11: Gastric cancer, surgical specimen. Score 3+ 10% or more tumor cells exhibit complete, basolateral or lateral membrane staining. HER2 staining intensity is strong. 20x objective magnification.

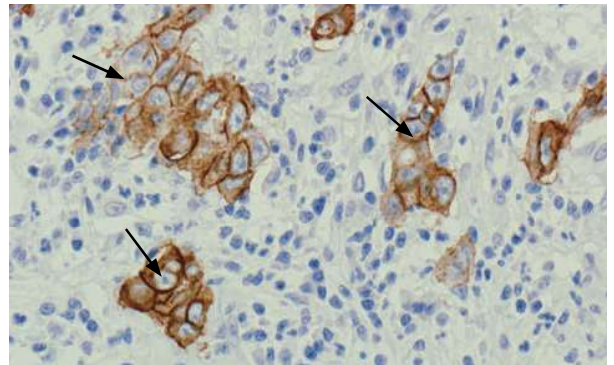


Figure 12: Gastric cancer, biopsy specimen. Score 3+. Example of clusters of at least 5 HER2 stained tumor cells (arrows). HER2 staining intensity is strong. 40x objective magnification.

Recommendation for Interpretation of HercepTest™ - Gastric Cancer

We emphasize that scoring of HercepTest™ must be performed in accordance with the guidelines established in the package insert and within the context of best practices and the pathologist's experience and best medical judgment. This manual will highlight areas of interpretation potentially problematic for HercepTest™ users.

Steps for HercepTest™ interpretation

1. Evaluate the Control Cell Lines to validate the assay performance.
2. Evaluate the Positive and Negative Control Slides.
3. A hematoxylin and eosin (H&E) staining of the tissue specimen is recommended for the first evaluation (The tumor may not be obvious when looking at the sample stained with HercepTest™. An H&E stained slide is required from the pathologist to verify the presence of the tumor). The HercepTest™ should be performed on a paired section (serial section) from the same paraffin block of the specimen.
4. Evaluate the sections stained for HER2 protein at low power magnification first. The majority of positive cases will be obvious at low power magnification.
5. For 1+ cases, use 40x objective magnification to verify membrane staining.
6. For 2+ cases, use 10x-20x objective magnification to verify membrane staining.

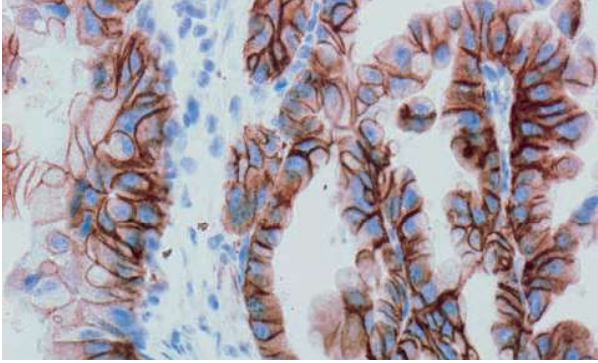
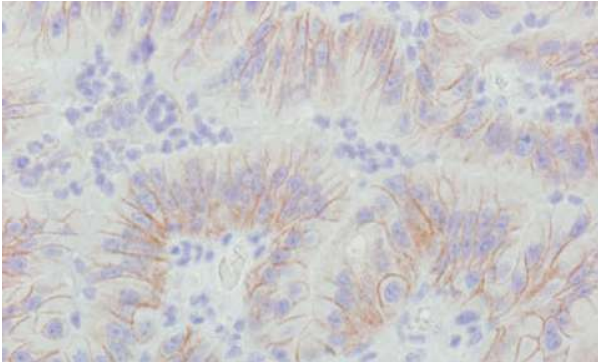
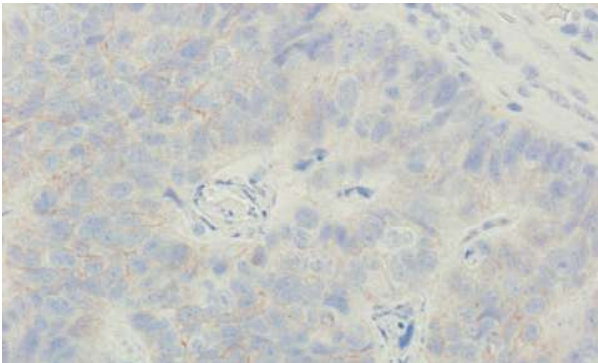
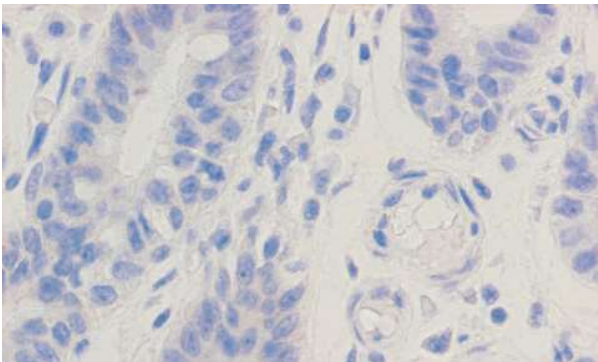
Surgical Specimen

1. Well-preserved and well-stained areas of the specimen should be used to make a determination of the percent of positive tumor cells.
2. If a majority of tumor cells demonstrate complete, basolateral or lateral membrane staining, the staining is either 2+ or 3+.
3. If there is complete, basolateral or lateral membrane staining at a strong intensity in equal to or more than 10% of the tumor cells in surgical specimens, the score of the specimen is 3+.
4. If there is complete, basolateral or lateral membrane staining at a weak to moderate intensity in equal to or more than 10% of the tumor cells in surgical specimens, the score of the specimen is 2+.
5. If equal to or more than 10% of the tumor cells in surgical specimens, stained only in part of their membrane, have a faint/barely perceptible intensity, the score of the specimen is 1+.
6. If less than 10% of the tumor cells in surgical specimens have staining, irrespective of the staining pattern (e.g. complete, basolateral or lateral or part of their membrane), the score is 0.
7. If no staining is observed the score of the surgical specimen is 0.

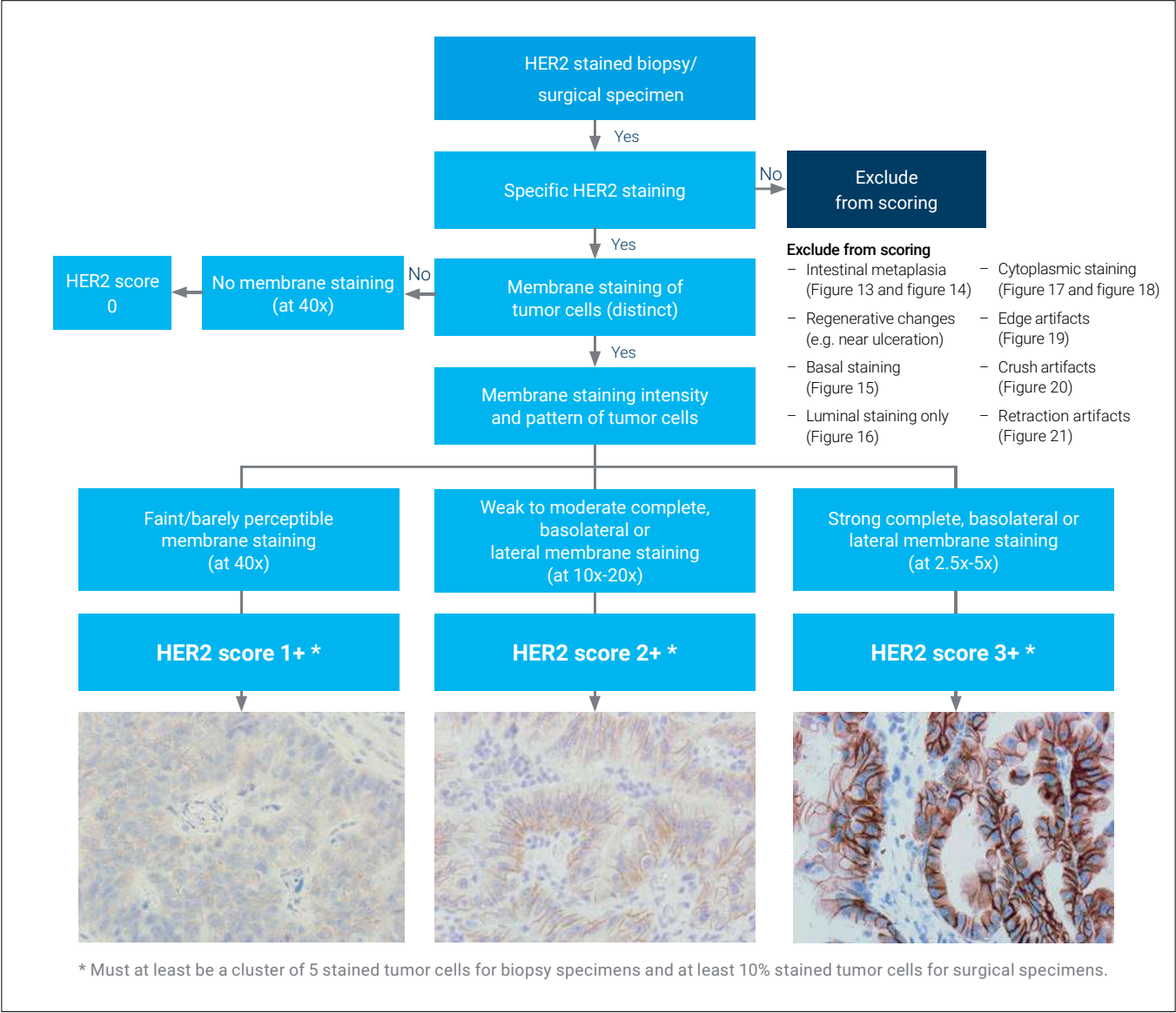
Biopsy Specimen

1. A cluster of at least 5 stained tumor cells consists of 5 connected HER2 stained tumor cells.
2. If there is a tumor cell cluster of at least 5 stained tumor cells with a strong complete, basolateral or lateral membrane staining, the score of the biopsy specimen is 3+, irrespective of percentage of tumor cells stained.
3. If there is a tumor cell cluster of at least 5 stained tumor cells with a weak to moderate complete, basolateral or lateral membrane staining, the score of the biopsy specimen is 2+, irrespective of percentage of tumor cells stained.
4. If there is a tumor cell cluster of at least 5 stained tumor cells with a faint/barely perceptible membrane staining and cells are stained only in part of their membrane, the score of the biopsy specimen is 1+, irrespective of percentage of tumor cells stained.
5. If no staining is observed the score of the biopsy specimen is 0.
6. If membrane staining (irrespective of staining intensity) is observed in less than 5 clustered tumor cells, the score of the biopsy specimen is 0.

Magnification and HER2 Score

HER2 Score	Membrane Staining Intensity	Magnification
3+ 	Strong	Use 2.5x-5x objective magnification to verify membrane staining
2+ 	Weak to moderate	Use 10x-20x objective magnification to verify membrane staining
1+ 	Faint/Barely visible	Use 40x objective magnification to verify membrane staining
0 	No membrane staining	Use 40x objective magnification to evaluate specimen

Step-by-Step Evaluation of HercepTest™ Stained Gastric Cancer Specimens



Exclude from Scoring

Intestinal metaplasia

For determination of HER2 protein expression only specimens from patients with adenocarcinoma of the stomach, including gastroesophageal junction, should be scored. In cases with the occurrence of intestinal metaplasia and gastric adenocarcinoma in the same specimen, only the gastric adenocarcinoma component should be scored (Figure 13 and Figure 14). Regenerative changes (e.g. near ulceration) should be excluded from scoring.

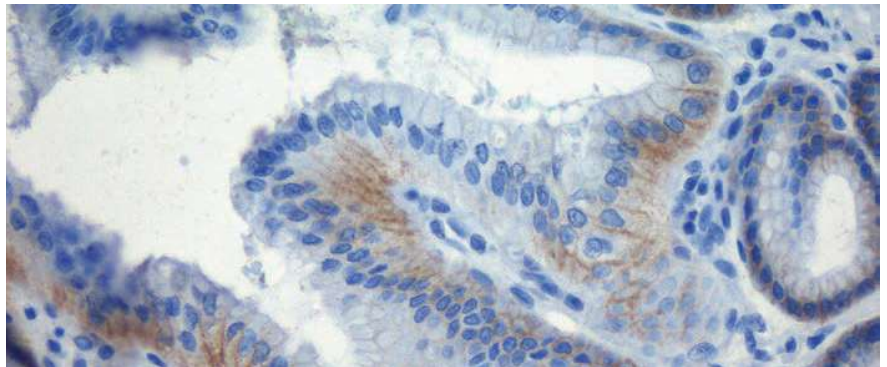


Figure 13: Gastric cancer specimen demonstrating ovoid and intestinal metaplasia staining. Exclude intestinal metaplasia from scoring. Courtesy of Targos Molecular Pathology GmbH.

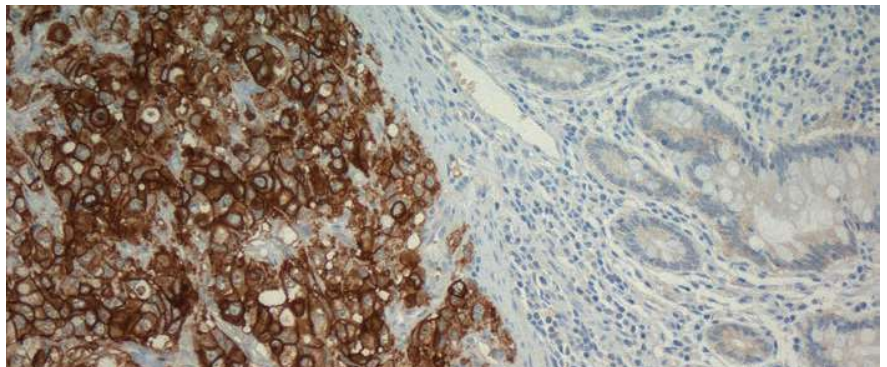


Figure 14: Gastric cancer. Score 3+. Intestinal metaplasia (right) and gastric cancer (left) in the same specimen. Exclude intestinal metaplasia from scoring. Courtesy of Targos Molecular Pathology GmbH.

Basal staining only and luminal staining only

For determination of HER2 protein expression, only the membrane staining intensity and pattern should be evaluated. Complete, basolateral and lateral membrane staining pattern should be evaluated whereas basal staining only (Figure 15) and luminal staining only (Figure 16) are excluded from scoring.

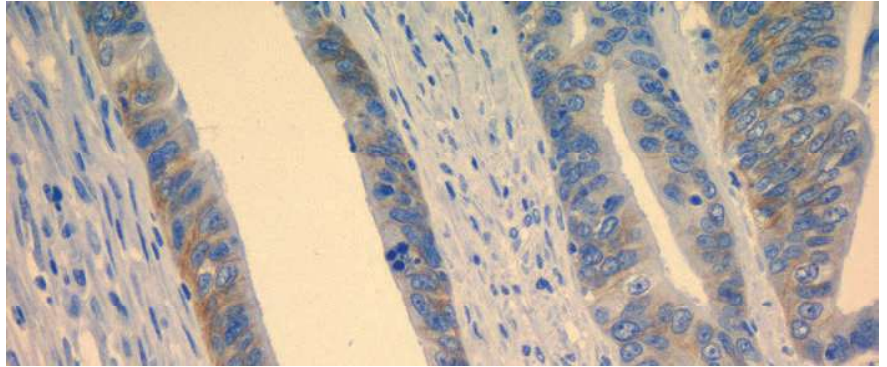


Figure 15: Gastric cancer. Demonstration of basal staining only. To be excluded from scoring. Courtesy of Targos Molecular Pathology GmbH.

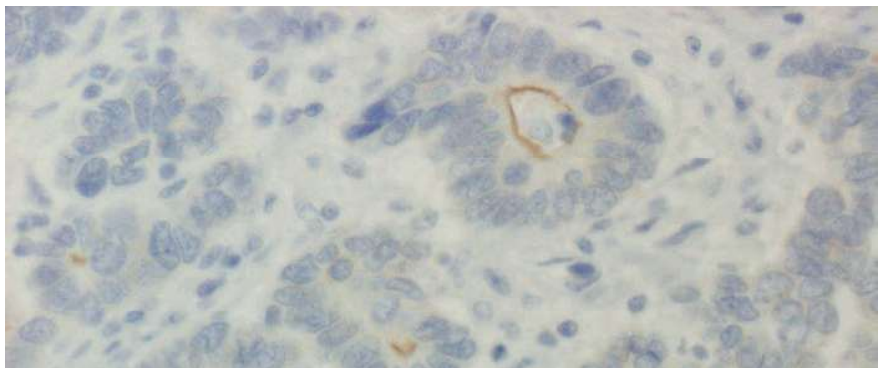


Figure 16: Gastric cancer. Demonstration of luminal staining only. To be excluded from scoring.

Cytoplasmic staining

Cytoplasmic staining (Figure 17 and Figure 18) should be considered non-specific staining and is not to be scored.

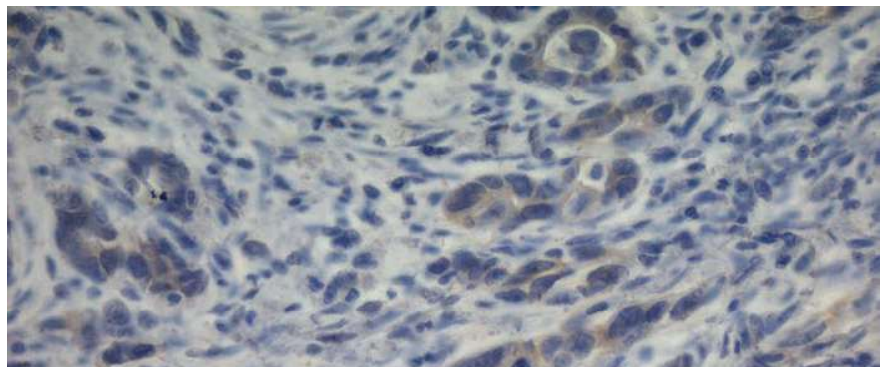


Figure 17: Gastric cancer. Demonstration of non-specific cytoplasmic staining. To be excluded from scoring. Courtesy of Targos Molecular Pathology GmbH.

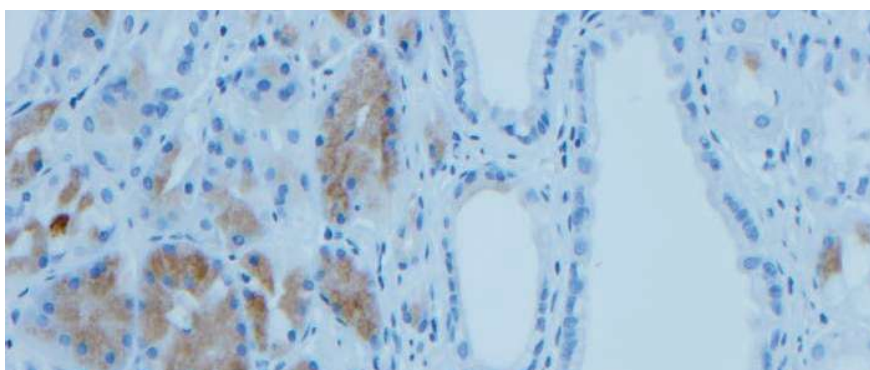


Figure 18: Demonstration of non-specific cytoplasmic staining of normal gastric mucosa (chief cells). To be excluded from scoring.

Edge artifacts

Edge artifacts are usually linked to the pre-analytic handling of the tissue. Often the method of surgical extration is the cause (see Crush artifacts on the next page). This phenomenon is more frequently observed for stereotactic needle biopsies.

Increased staining intensity is frequently observed around the periphery of the tissue section, known as "the edge effect".

- The edge effect represents artifacts due to tissue drying prior to fixation.
- If staining is only observed at the edge of the tissue section, scoring of the tissue specimen should be avoided (Figure 19).

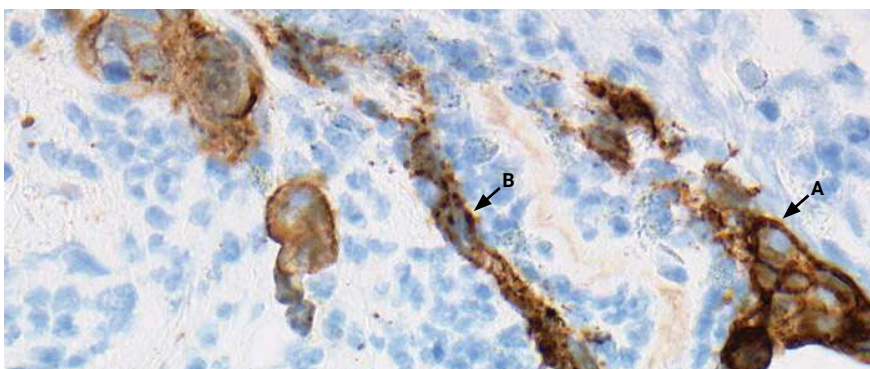


Figure 19: Gastric cancer showing edge artifacts (A) and granular unspecific cytoplasmic staining (B). Courtesy of Targos Molecular Pathology GmbH.

Inadequate fixation of tissue samples rendering the central portion of the tissue sub-optimal fixed relative to the peripheral areas, may mimic edge artifact. In these circumstances, the immunoreactivity in the sub-optimal central portion may be mistakenly interpreted as false-negative as compared to the correct immunoreactivity observed at the section periphery which has optimal fixation.

Crush artifacts

Crush artifacts are related to edge artifacts. This artifact may be encountered more often in needle biopsies. It is presumed that the tissue injury occurs during the extraction of the tissue from the needle rather than from the actual biopsy process. Regardless, the compression of the tissue along the edges of the needle core can produce a linear staining that should be interpreted as an artifact.

Tissue areas with crushed cells typically demonstrate condensed nuclei and should be avoided in scoring. Deposition of the chromogen is characteristic in areas where the cells are crushed, while well-preserved cells are devoid of immunoreactivity (Figure 20).

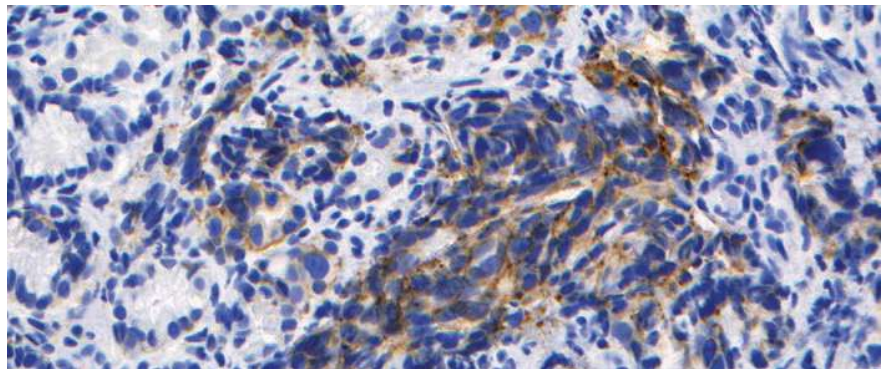


Figure 20: Gastric cancer biopsy specimen showing crush artifacts. Courtesy of Targos Molecular Pathology GmbH

Retraction artifacts (artifacts on a cellular level)

Retraction artifacts are small spaces in the tissue where antibody and chromogen can pool forming circumferential depositions. Retraction of epithelial cells from stroma may create small spaces where the reagent pool around the epithelial cells forms a circumferential deposition of the brown end product (Figure 21). This artifact requires thorough examination of the intercellular areas (i.e. cell-to-cell interface not the cell-to-stroma interface).

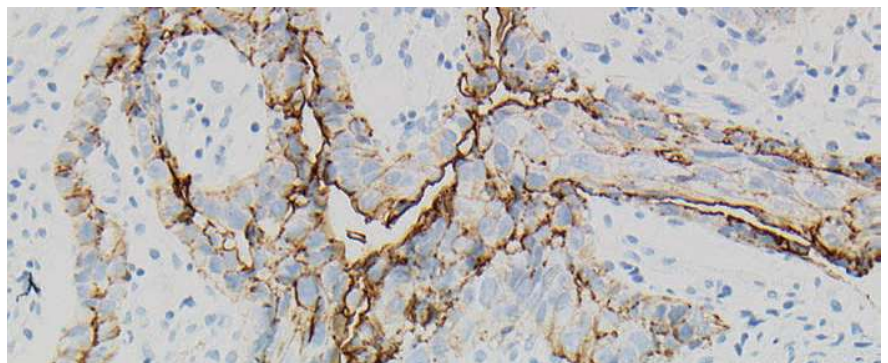


Figure 21: Gastric cancer showing retraction artifacts. Moderate staining intensity in the cell-to-cell interface. Score 2+. Courtesy of Targos Molecular Pathology GmbH.

Background Staining

Background Staining

Background staining is defined as diffuse, non-specific staining of a specimen. It is caused by several factors. These factors include, but are not limited to: pre-analytic fixation and processing of the specimen, incomplete removal of paraffin from sections, and incomplete rinsing of slides.

The use of fixatives other than neutral buffered formalin may be a source to background staining. Background staining with HercepTest™ is rare.

Possible Causes of Background Staining

- Improper drying of slides
- Improper deparaffinization procedure
- Use of different buffer than recommended
- Incomplete rinsing of reagents from slides

Evaluating the non-specific background staining of the negative test specimen is useful in interpreting the level of background staining in the positive test specimen. If background staining is significant, the specific staining must be interpreted with caution.

Heterogenous Staining

Heterogenous staining pattern is often observed in gastric cancer tissue (Figure 22, 23, 24) due to true biological difference in HER2 protein expression levels.

- The pathologist's experience and judgment is important in the evaluation of heterogeneous staining.
- Review these cases at low power magnification.
- **For surgical specimens:** There must be at least 10% or more tumor cells demonstrating complete, basolateral or lateral membrane staining for the score to be at least 2+ or greater.
- **For biopsy specimens:** There must be at least 5 clustered tumor cells demonstrating complete, basolateral or lateral membrane staining for the score to be at least 2+ or greater.

If there is any doubt about the cause of heterogeneity (e.g. artifacts), confirmation by FISH is recommended.

Figure 22: Gastric cancer with example of heterogeneous staining. Characteristic feature: 2+ score on the left and 3+ on the right. 10% or more of the tumor cells demonstrate strong, complete, basolateral or lateral membrane staining. Score of the gastric cancer specimen is 3+. Courtesy of Targos Molecular Pathology GmbH.

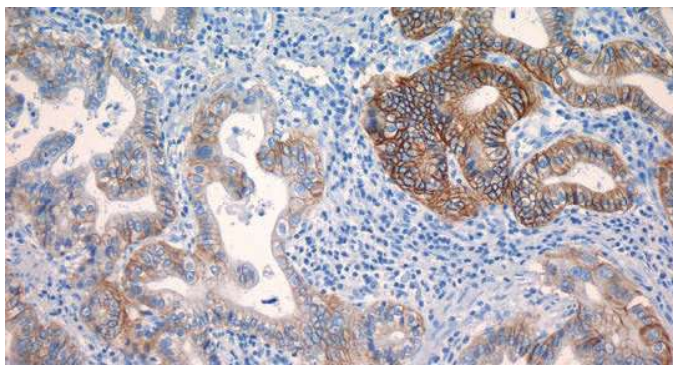


Figure 23: Gastric cancer with example of heterogeneous staining. Characteristic feature: 0 score on the left and 3+ on the right. 10% or more of the tumor cells demonstrate strong complete, basolateral or lateral membrane staining. Score of the gastric cancer specimen is 3+. Courtesy of Targos Molecular Pathology GmbH.

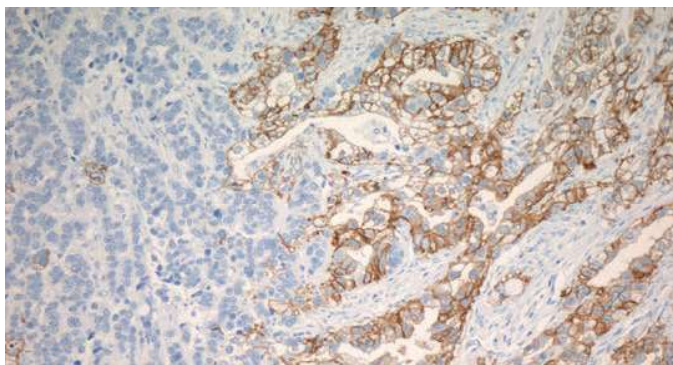
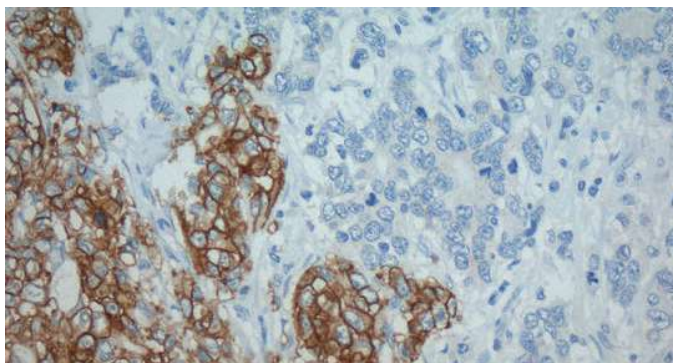


Figure 24: Gastric cancer with example of heterogeneous staining. Characteristic feature: 0 score on the right and 3+ on the left. 10% or more of the tumor cells demonstrate strong complete, basolateral or lateral membrane staining. Score of the gastric cancer specimen is 3+. Courtesy of Targos Molecular Pathology GmbH.



Homogenous Staining

In a gastric cancer tissue specimen with a homogenous HER2 staining pattern, the individual tumor cells display almost uniform immunostaining (Figure 25, 26, 27).

- **For surgical specimens:** There must be at least 10% or more of the tumor cells demonstrating complete, basolateral or lateral membrane staining for the score to be at least 2+ or greater.
- **For biopsy specimens:** There must be at least 5 clustered tumor cells demonstrating complete, basolateral or lateral membrane staining for the score to be at least 2+ or greater.

Interpretation of homogeneous staining should be based on an overall evaluation of all tumor cells.

Review of the average staining in the whole section should be performed.

Figure 25: Gastric cancer with 3+ homogeneous staining. 20x objective magnification.

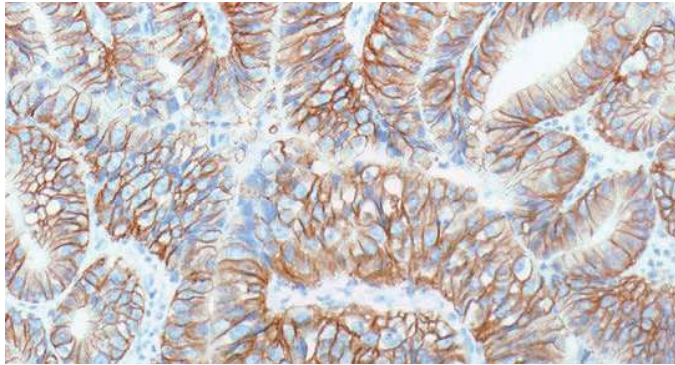


Figure 26: Gastric cancer with 3+ homogeneous staining. 20x objective magnification.

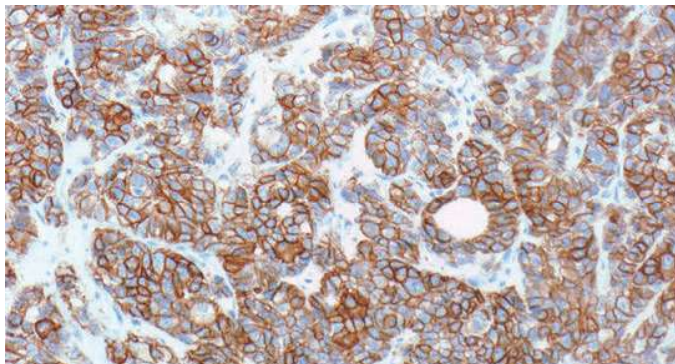
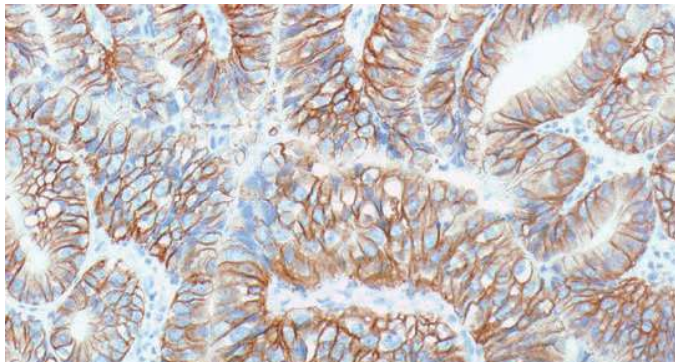


Figure 27: Gastric cancer with 3+ homogeneous staining. 20x objective magnification.



HER2 Expression in Gastric Cancer - Staining Images

Adenocarcinoma of the stomach including gastroesophageal junction (GEJ) is also referred to as gastric cancer in this document. Figure 28-75 show examples of staining patterns.

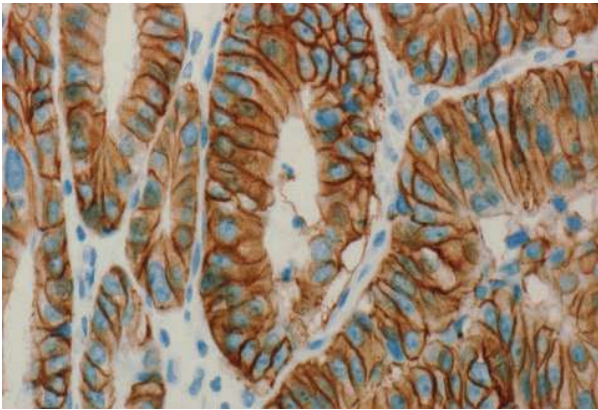


Figure 28: Adenocarcinoma of the stomach. Score 3+ (40x objective magnification)

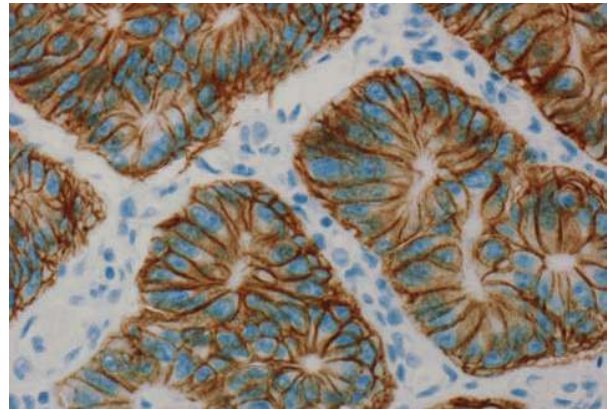


Figure 31: Adenocarcinoma of GEJ. Score 3+ (40x objective magnification)

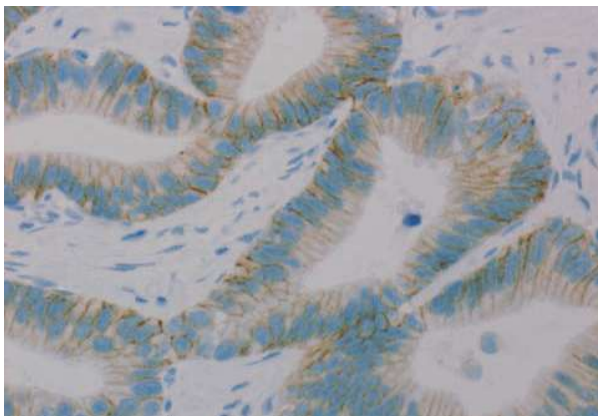


Figure 29: Adenocarcinoma of the stomach. Score 2+ (40x objective magnification)

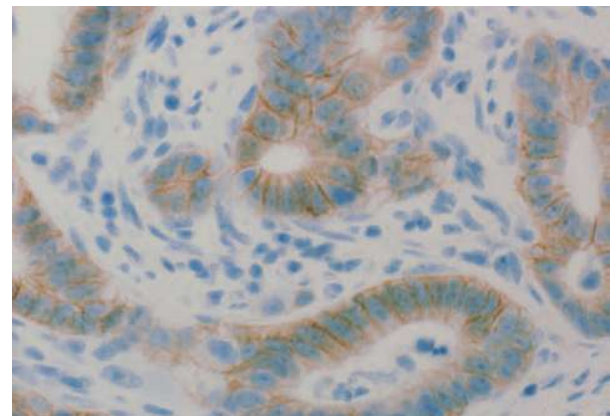


Figure 32: Adenocarcinoma of GEJ. Score 2+ (40x objective magnification)

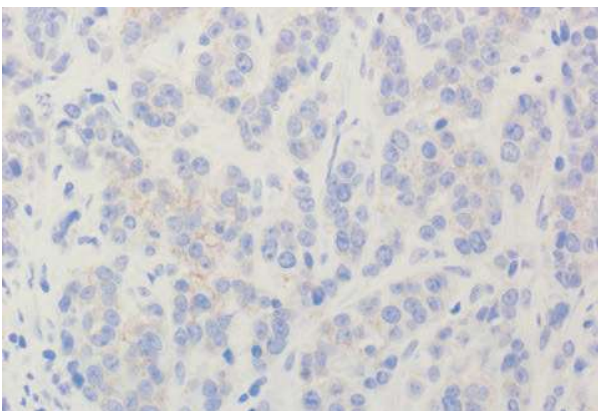


Figure 30: Adenocarcinoma of the stomach. Score 1+ (40x objective magnification)

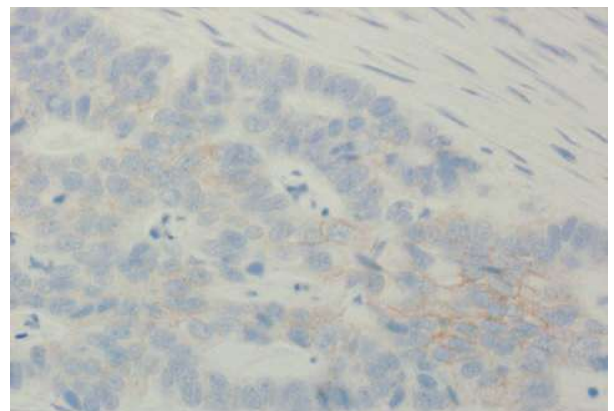


Figure 33: Adenocarcinoma of GEJ. Score 1+ (40x objective magnification)

HercepTest™ Score 0

No staining is seen in this gastric cancer.

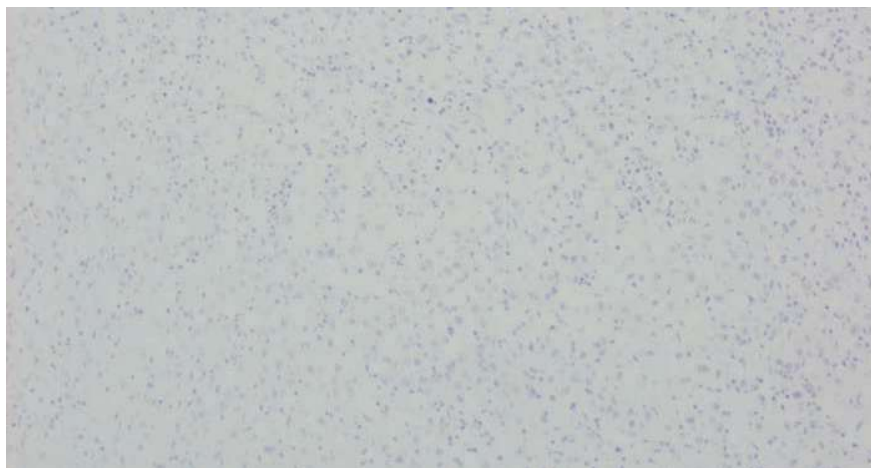


Figure 34: Gastric cancer score 0 (10x objective magnification)

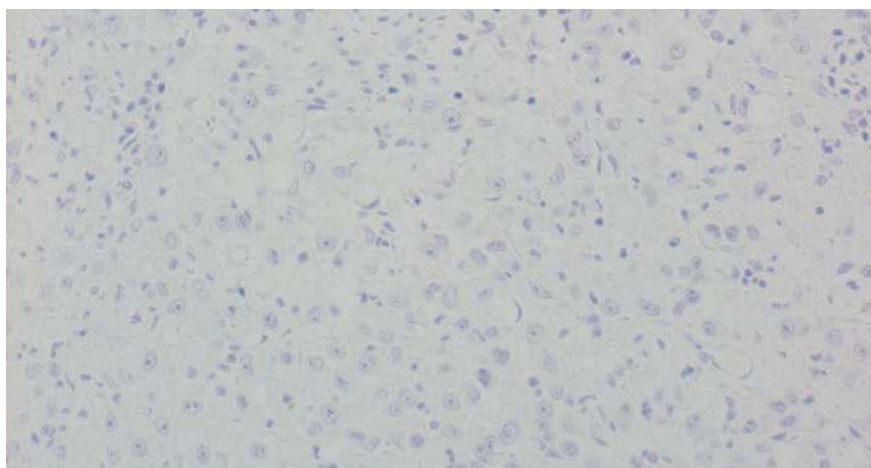


Figure 35: Gastric cancer score 0 (20x objective magnification)

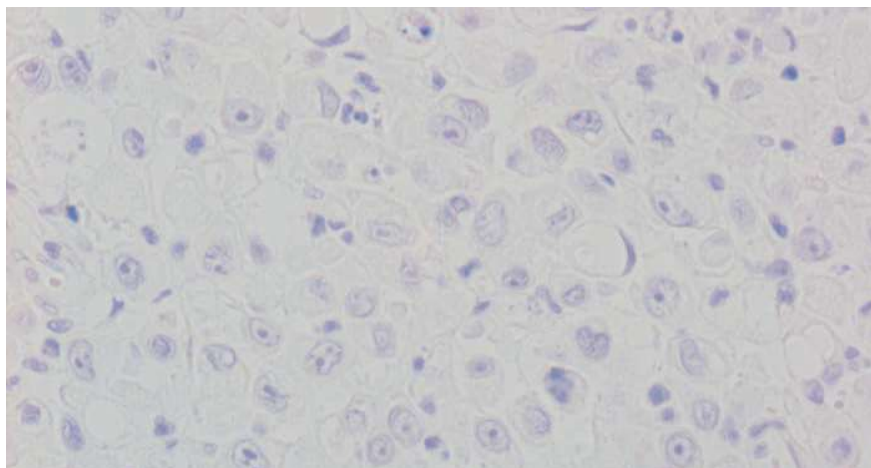


Figure 36: Gastric cancer score 0 (40x objective magnification)

HercepTest™ Score 1+

The tumor cells are weakly stained. Tumor cells are stained only in part of their membrane.

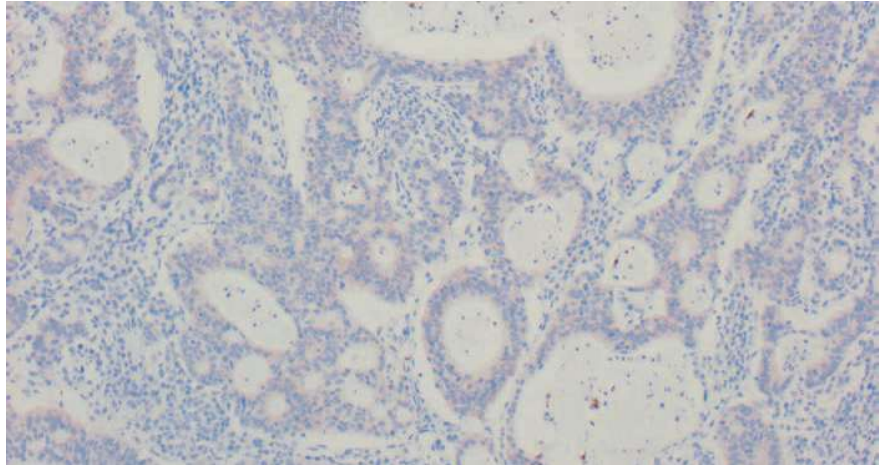


Figure 37: Gastric cancer score 1+ (10x objective magnification)

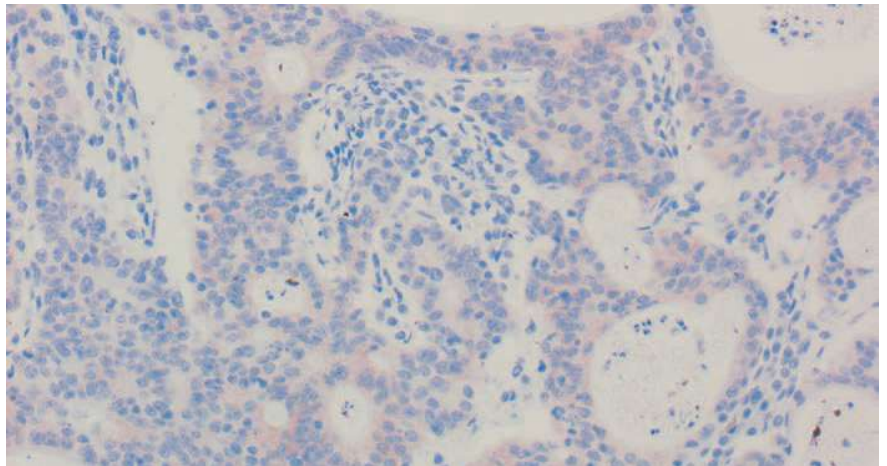


Figure 38: Gastric cancer score 1+ (20x objective magnification)

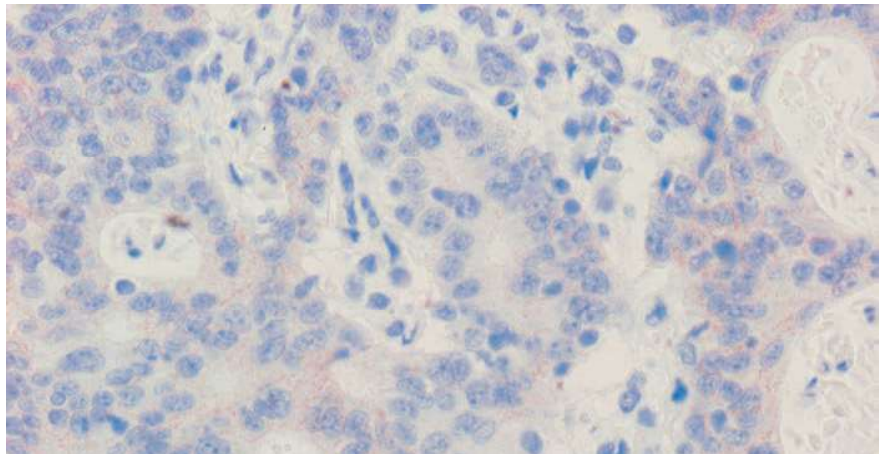


Figure 39: Gastric cancer score 1+ (40x objective magnification)

HercepTest™ Score 1+

The tumor cells are weakly stained. Tumor cells are stained only in part of their membrane.

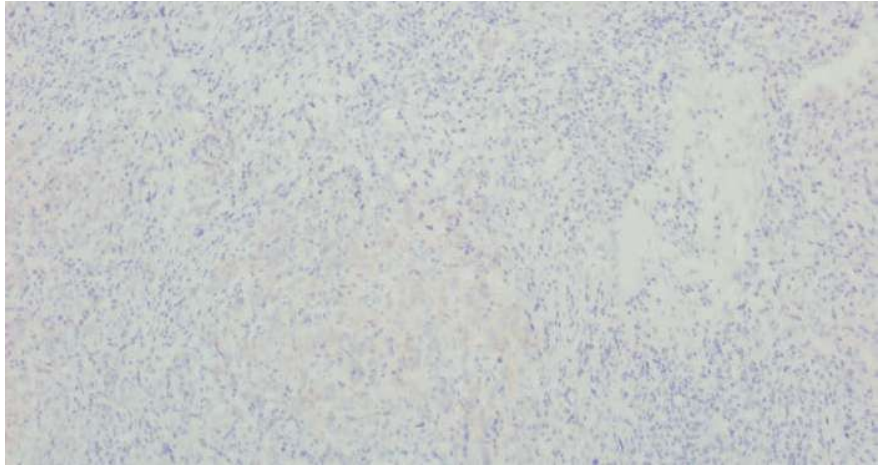


Figure 40: Gastric cancer score 1+ (10x objective magnification)

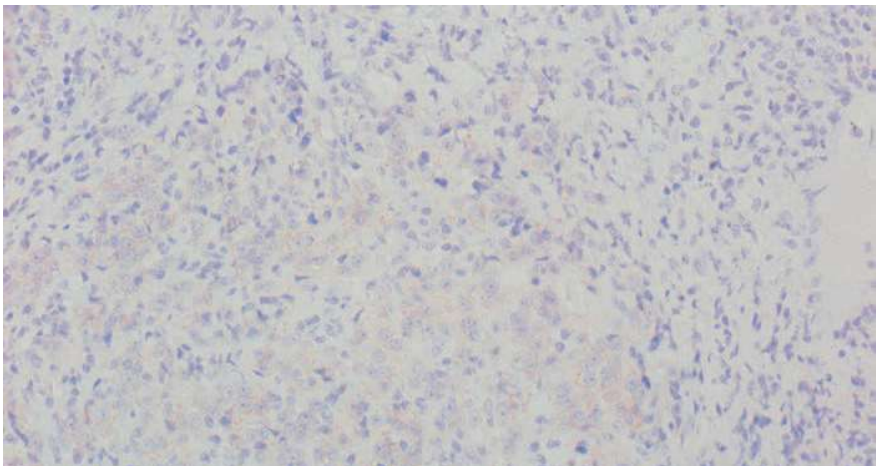


Figure 41: Gastric cancer score 1+ (20x objective magnification)

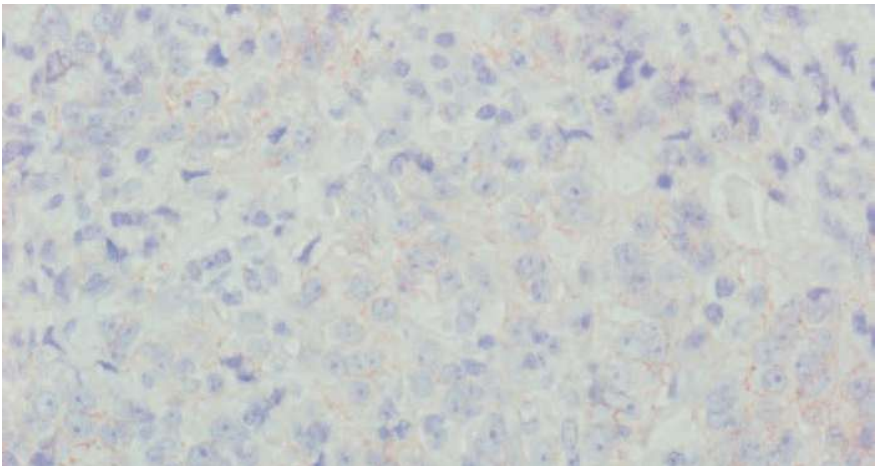


Figure 42: Gastric cancer score 1+ (40x objective magnification)

HercepTest™ Score 1+

The tumor cells are weakly stained. Tumor cells are stained only in part of their membrane.

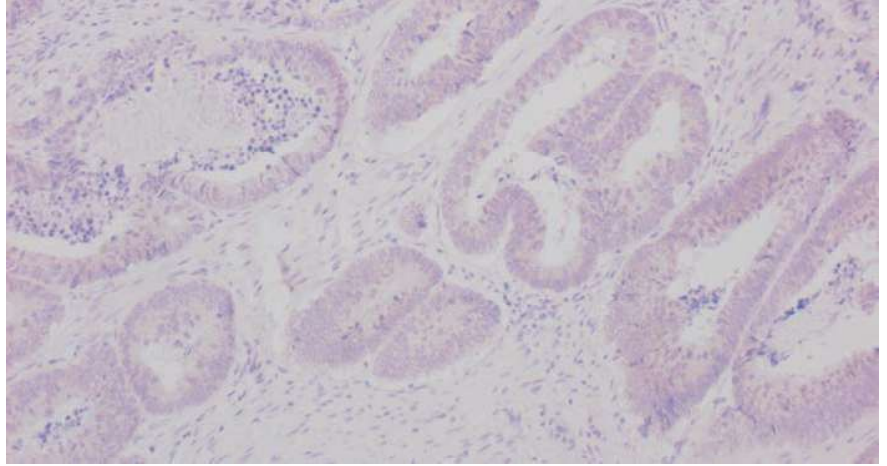


Figure 43: Gastric cancer score 1+ (10x objective magnification)

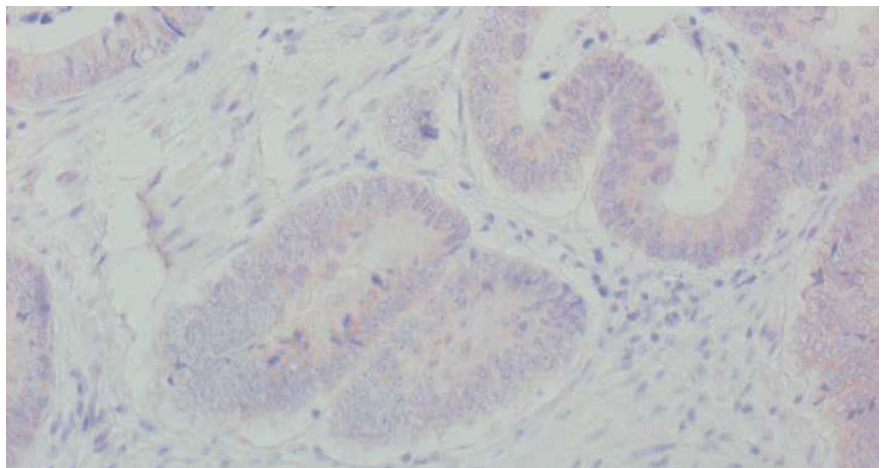


Figure 44: Gastric cancer score 1+ (20x objective magnification)

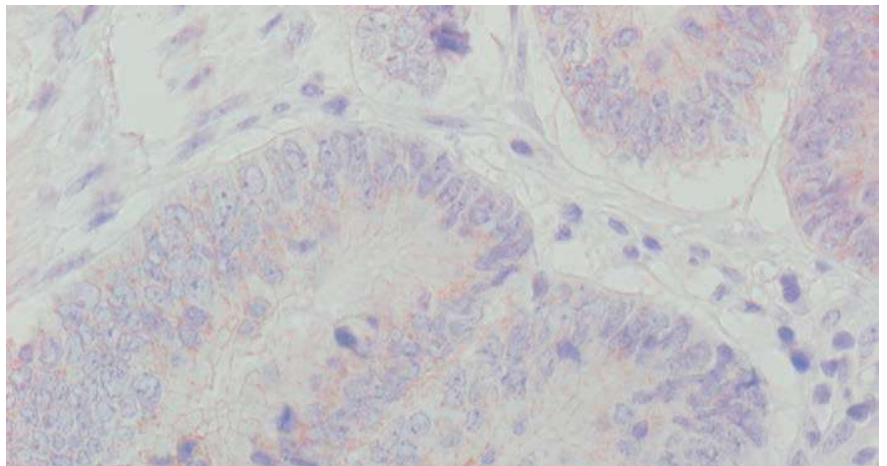


Figure 45: Gastric cancer score 1+ (40x objective magnification)

HercepTest™ Score 1+

The tumor cells are weakly stained. Tumor cells are stained only in part of their membrane.

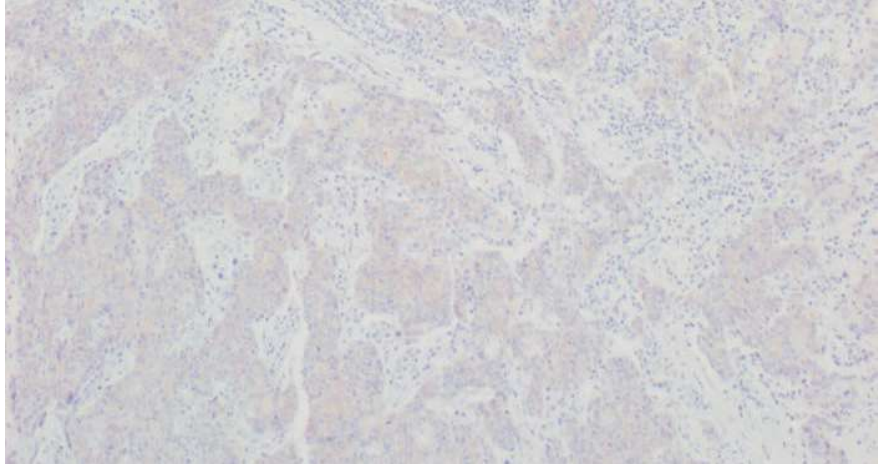


Figure 46: Gastric cancer score 1+ (10x objective magnification)

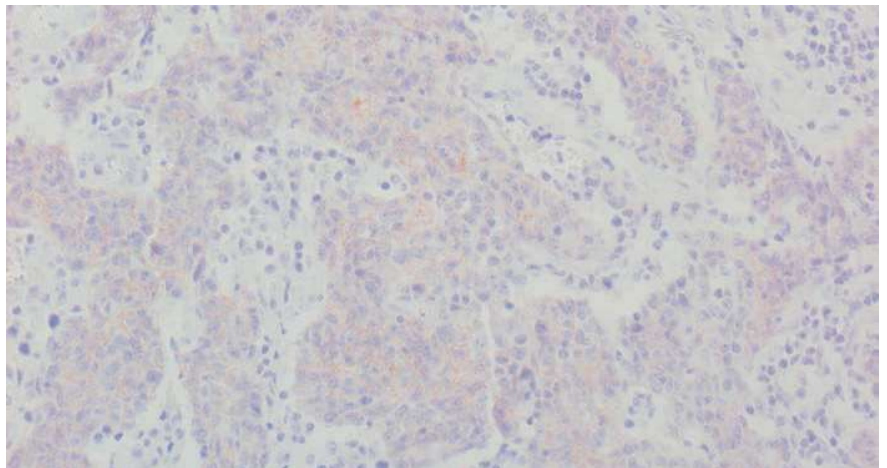


Figure 47: Gastric cancer score 1+ (20x objective magnification)

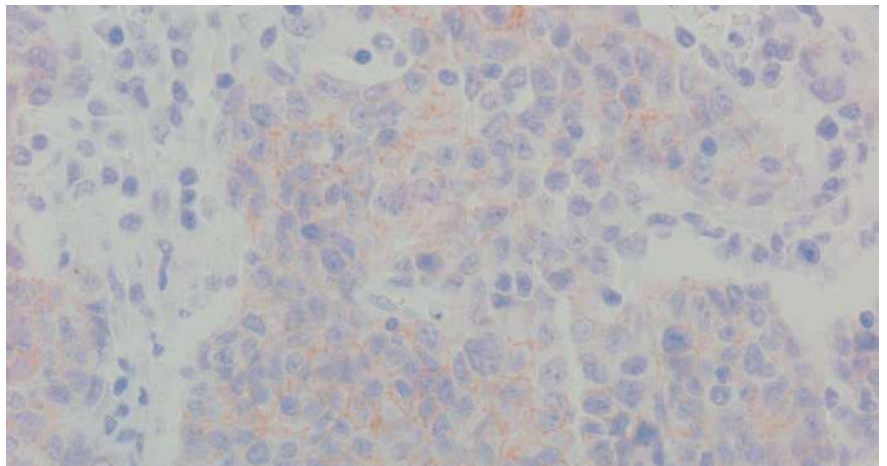


Figure 48: Gastric cancer score 1+ (40x objective magnification)

HercepTest™ Score 2+

The tumor cells exhibit complete, basolateral or lateral membrane staining; the intensity is weak to moderate.

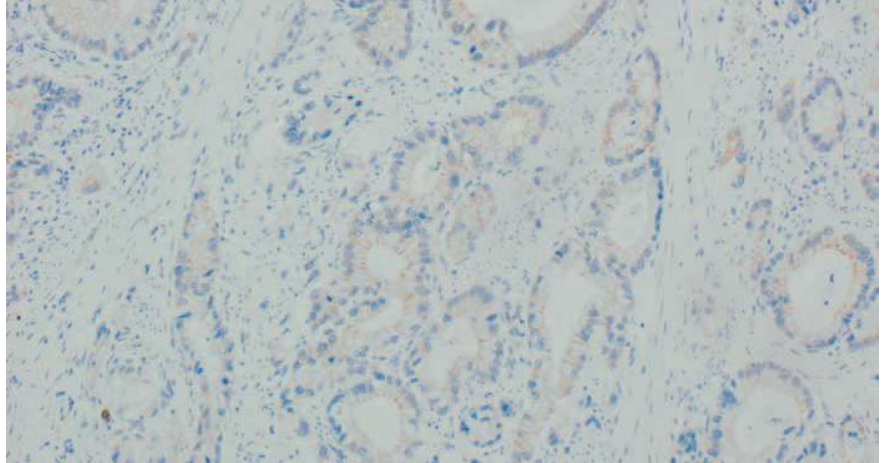


Figure 49: Gastric cancer score 2+ (10x objective magnification)

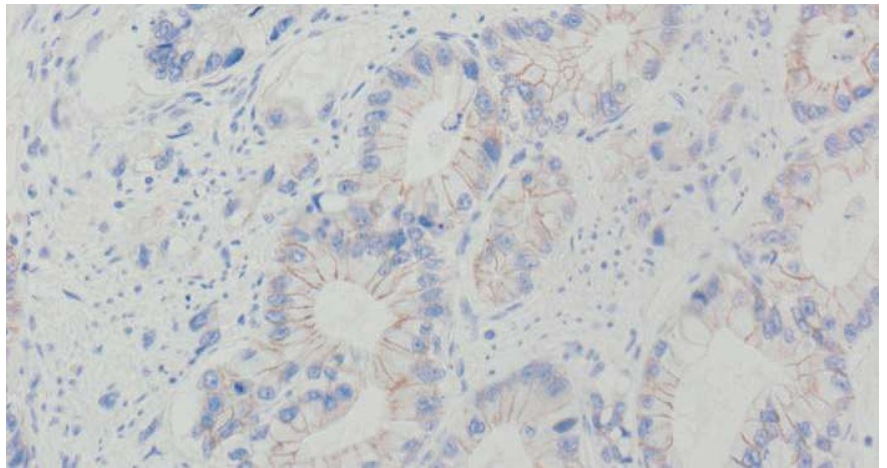


Figure 50: Gastric cancer score 2+ (20x objective magnification)

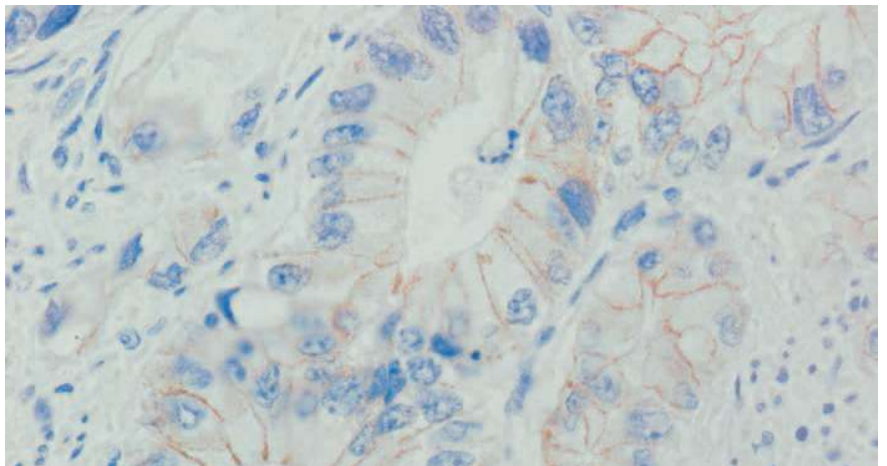


Figure 51: Gastric cancer score 2+ (40x objective magnification)

HercepTest™ Score 2+

The tumor cells exhibit complete, basolateral or lateral membrane staining; the intensity is weak to moderate.

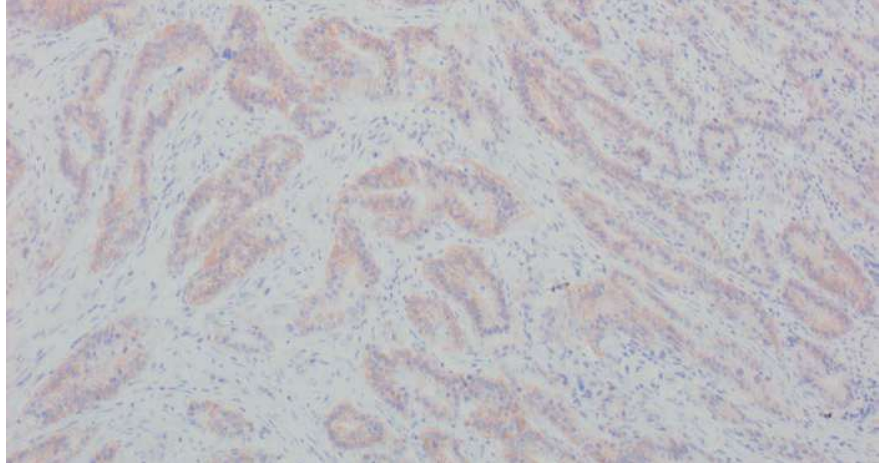


Figure 52: Gastric cancer score 2+ (10x objective magnification)

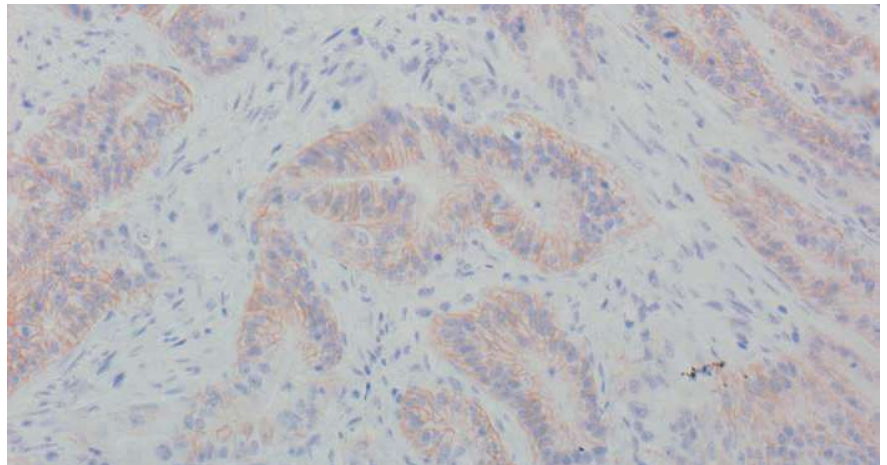


Figure 53: Gastric cancer score 2+ (20x objective magnification)

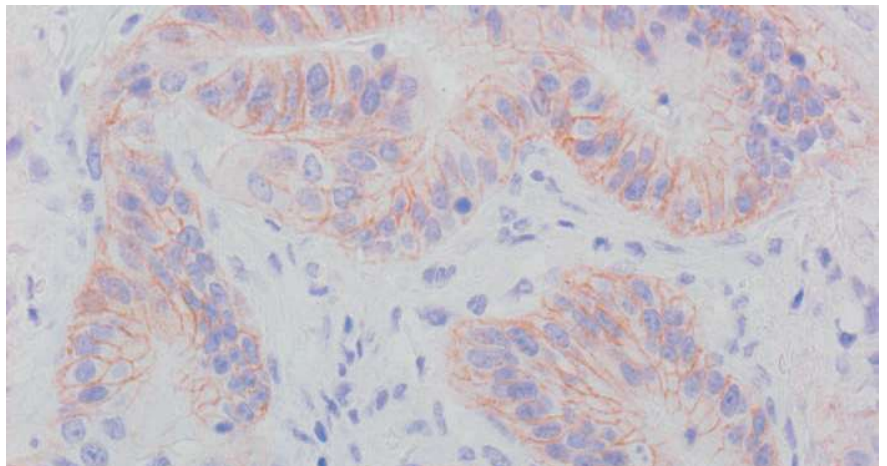


Figure 54: Gastric cancer score 2+ (40x objective magnification)

HercepTest™ Score 2+

The tumor cells exhibit complete, basolateral or lateral membrane staining; the intensity is weak to moderate.

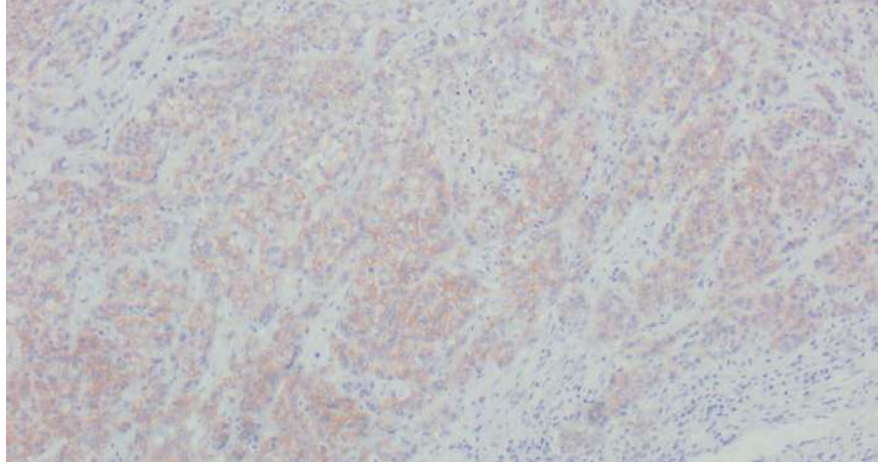


Figure 55: Gastric cancer score 2+ (10x objective magnification)

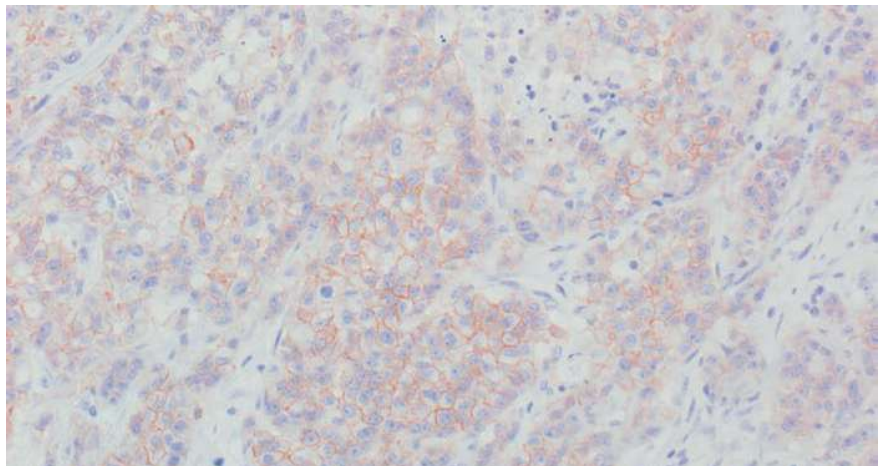


Figure 56: Gastric cancer score 2+ (20x objective magnification)

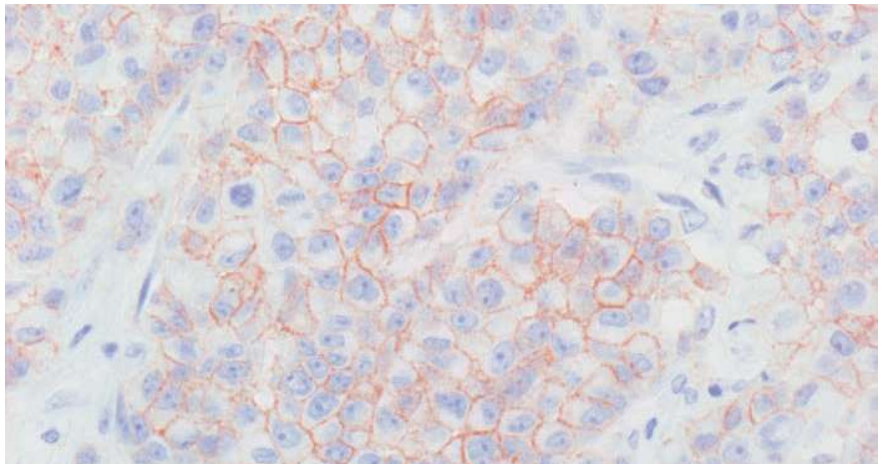


Figure 57: Gastric cancer score 2+ (40x objective magnification)

HercepTest™ Score 2+

These infiltrating tumor cells exhibit complete, basolateral or lateral membrane staining; the intensity is weak to moderate.

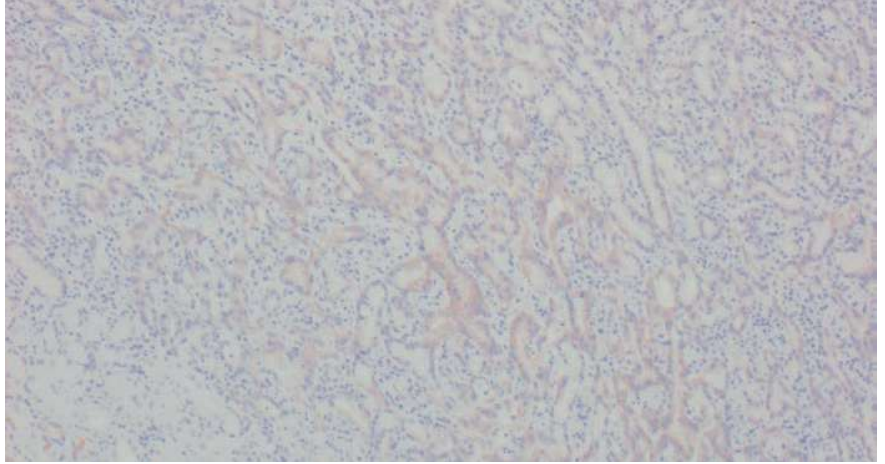


Figure 58: Gastric cancer score 2+ (10x objective magnification)

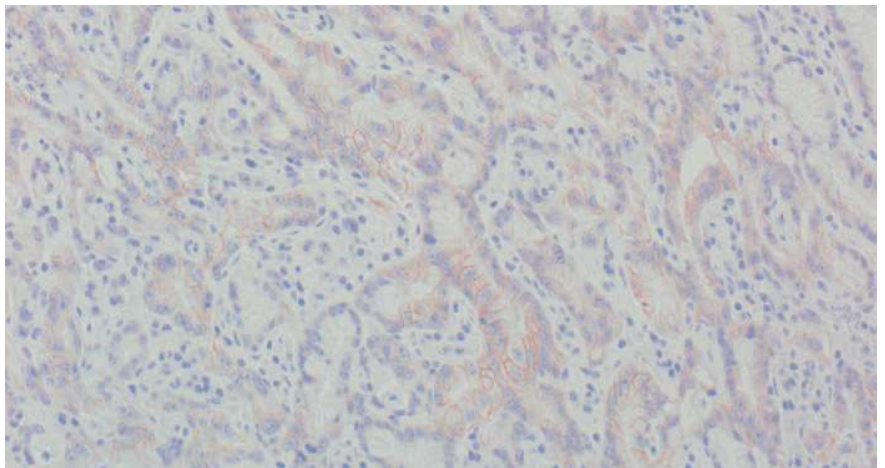


Figure 59: Gastric cancer score 2+ (20x objective magnification)

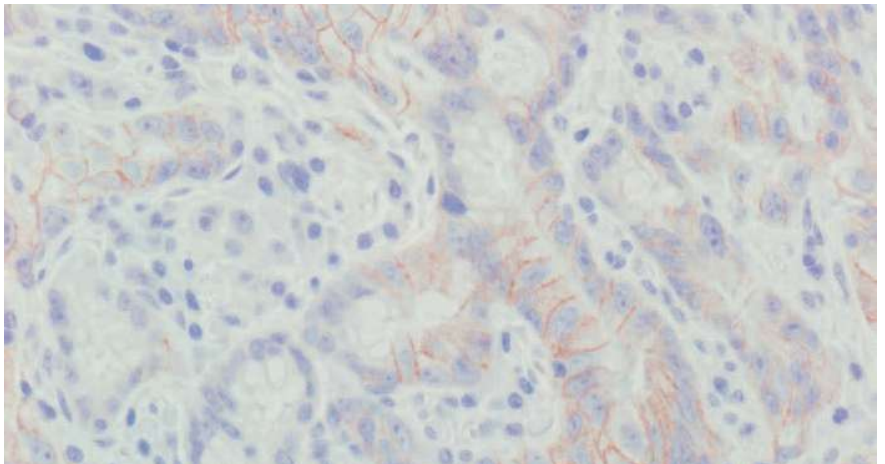


Figure 60: Gastric cancer score 2+ (40x objective magnification)

HercepTest™ Score 2+

The tumor cells exhibit complete, basolateral or lateral membrane staining; the intensity is weak to moderate.

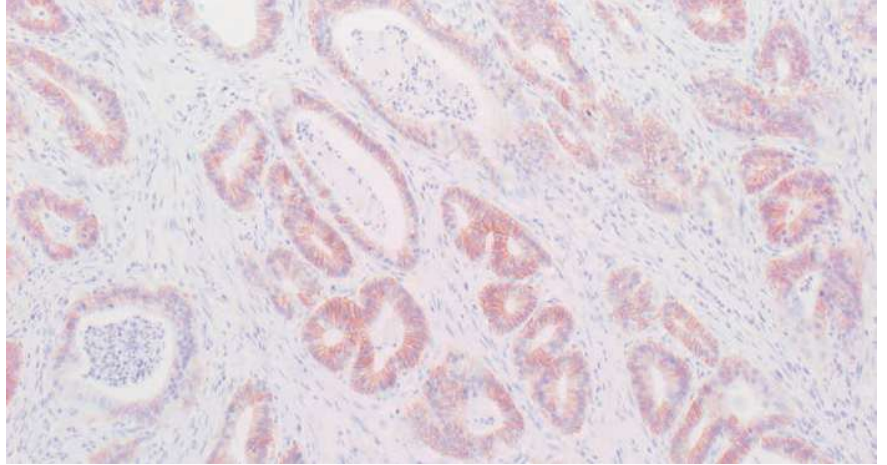


Figure 61: Gastric cancer score 2+ (10x objective magnification)

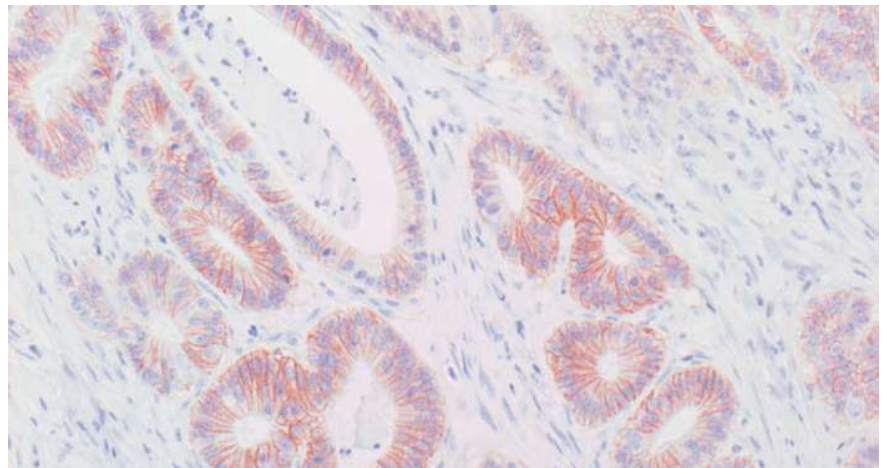


Figure 62: Gastric cancer score 2+ (20x objective magnification)

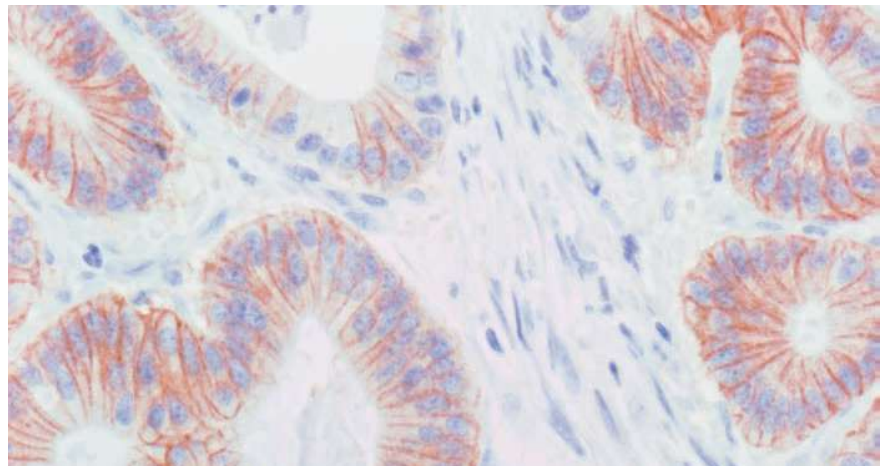


Figure 63: Gastric cancer score 2+ (40x objective magnification)

HercepTest™ Score 3+

The tumor cells exhibit strong, complete, basolateral or lateral membrane staining.

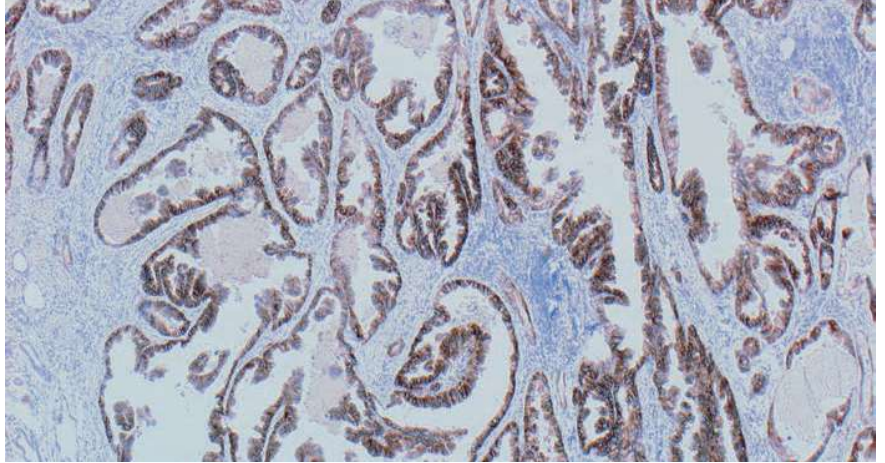


Figure 64: Gastric cancer score 3+ (4x objective magnification)

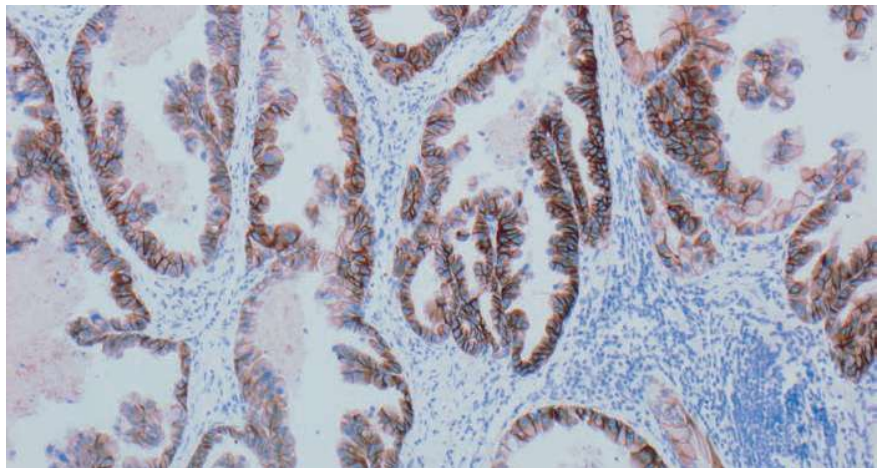


Figure 65: Gastric cancer score 3+ (10x objective magnification)

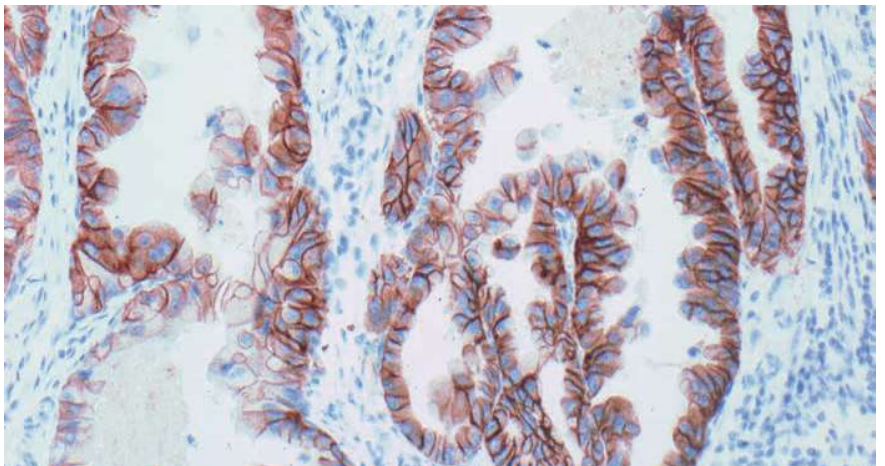


Figure 66: Gastric cancer score 3+ (20x objective magnification)

HercepTest™ Score 3+

The tumor cells exhibit strong, complete, basolateral or lateral membrane staining.

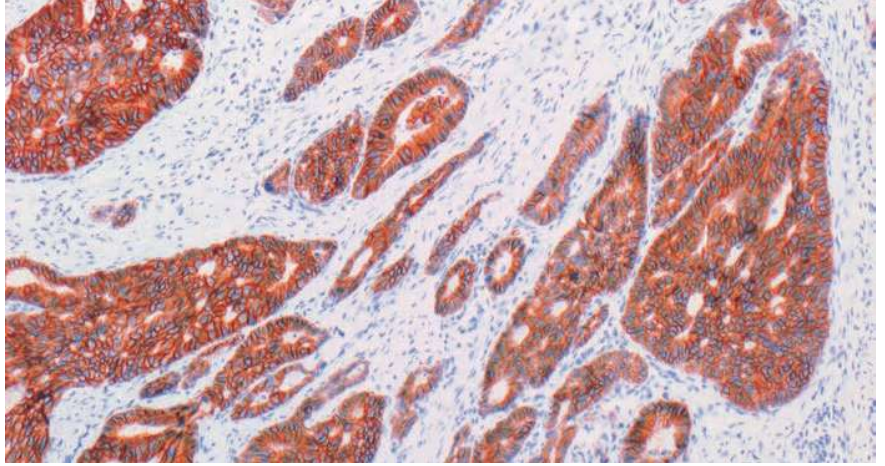


Figure 67: Gastric cancer score 3+ (10x objective magnification)

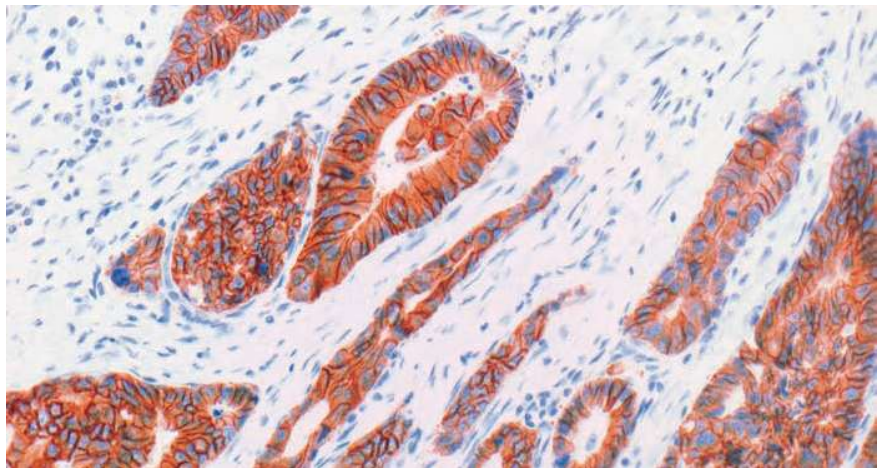


Figure 68: Gastric cancer score 3+ (20x objective magnification)

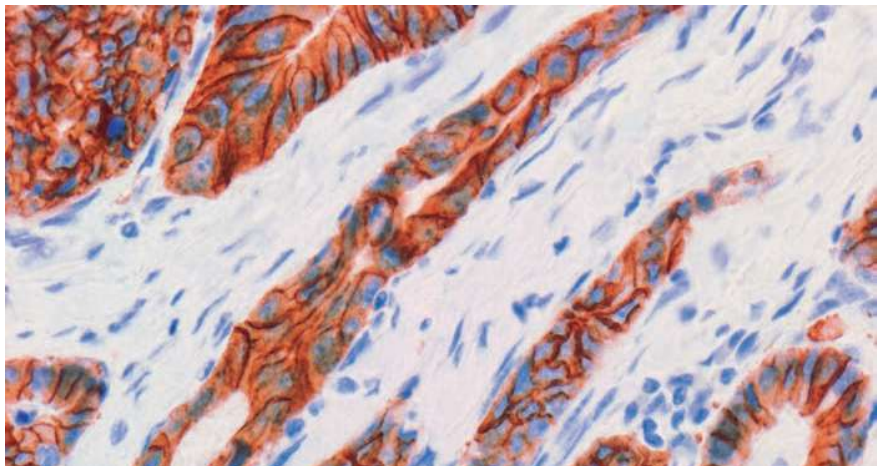


Figure 69: Gastric cancer score 3+ (40x objective magnification)

HercepTest™ Score 3+

The tumor cells exhibit strong, complete, basolateral or lateral membrane staining.

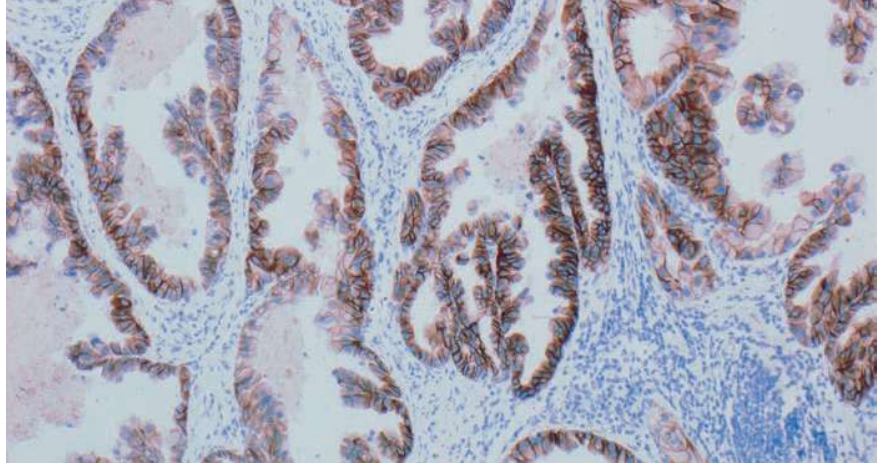


Figure 70: Gastric cancer score 3+ (10x objective magnification)

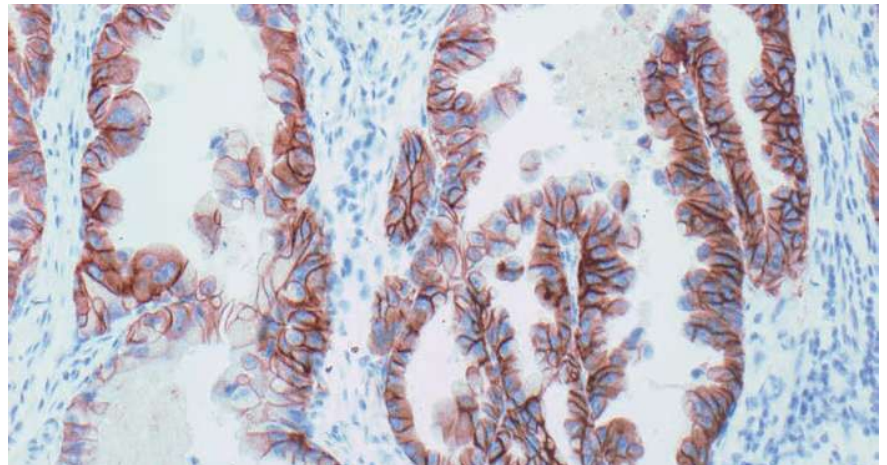


Figure 71: Gastric cancer score 3+ (20x objective magnification)

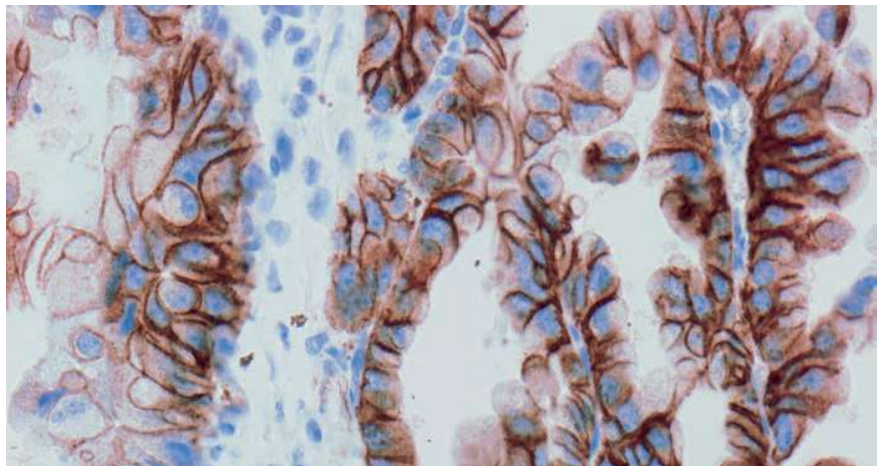


Figure 72: Gastric cancer score 3+ (40x objective magnification)

HercepTest™ Score 3+

Tumor cells exhibit strong, complete, basolateral or lateral membrane staining.

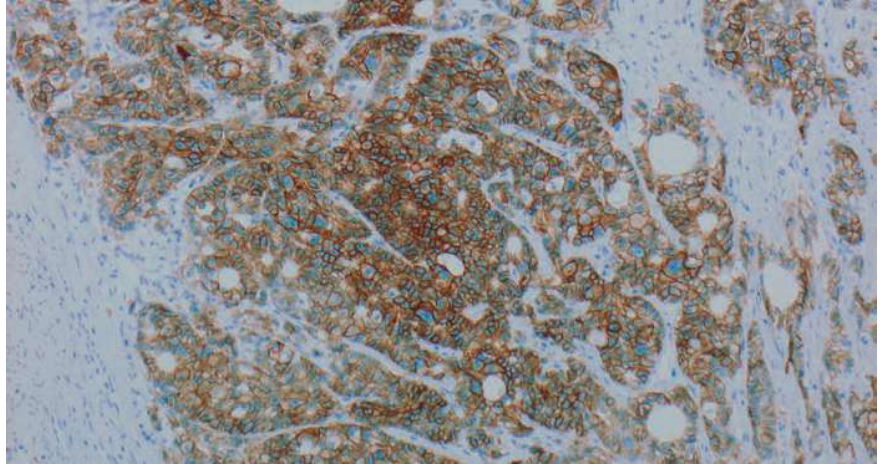


Figure 73: Gastric cancer score 3+ (10x objective magnification)

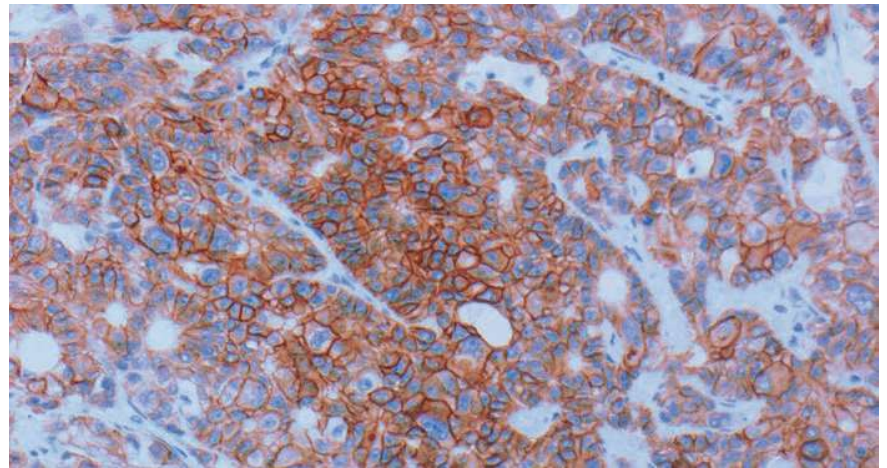


Figure 74: Gastric cancer score 3+ (20x objective magnification)

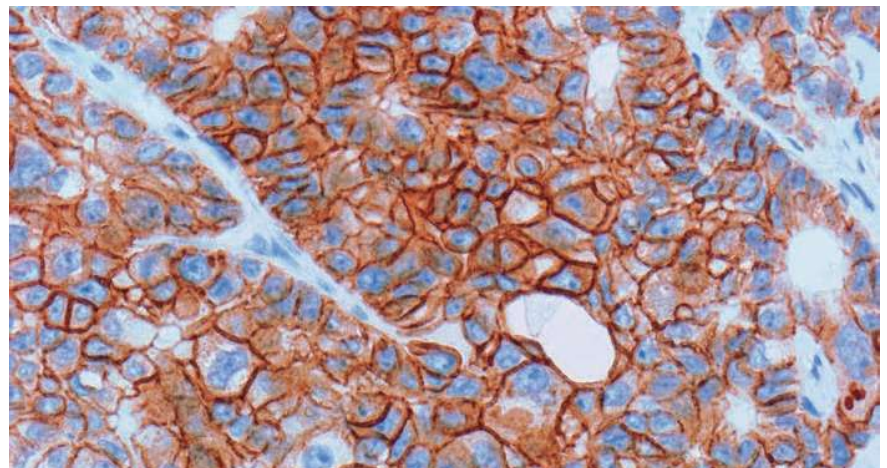


Figure 75: Gastric cancer score 3+ (40x objective magnification)

Bibliography

- Bang YJ, Van Cutsem E, Feyereislova A, Chung HC, Shen L, Sawaki A et al. Trastuzumab in combination with chemotherapy versus chemotherapy alone for treatment of HER2-positive advanced gastric or gastro-esophageal junction cancer (ToGA): a phase 3, open-label, randomized controlled trial. *Lancet* 2010.
- Comella P, Franco L, Casaretti R, de Portu S, Menditto E. Emerging role of capecitabine in gastric cancer. *Pharmacotherapy*. 2009;29:318-30.
- Fujimoto-Ouchi K, Sekiguchi F, Yasuno H, Moriya Y, Mori K, Tanaka Y. Antitumor activity of trastuzumab in combination with chemotherapy in human gastric cancer xenograft models. *Cancer Chemother Pharmacol*. 2007;59:795-805.
- Fukushima S, Matsubara K, Yoshida M, Sasaki M, Suzuki T, Semba K, et al. Localization of a novel v-erbB-related gene, c-erbB-2, on human chromosome 17 and its amplification in a gastric cancer cell line. *Mol Cell Biol*. 1986;6:955-8.
- Garcia I, Vizoso F, Martin A, Sanz L, Abdel-Lah O, Raigoso P, et al. Clinical significance of the epidermal growth factor receptor and HER2 receptor in resectable gastric cancer. *Ann Surg Oncol*. 2003;10:234-41.
- Gerson JN, Skiara S, Denlinger CS & Astsaturon I. Perspectives of HER2-targeting in gastric and esophageal cancer. *Expert Opin Investig Drugs*. 2017; 26(5): 531-540.
- Ghaderi A, Vasei M, Maleck-Hosseini SA, Ghareh-Sardi B, Khodami M, Doroudchi M, et al. The expression of c-erbB-1 and c-erbB-2 in Iranian patients with gastric carcinoma. *Pathol Oncol Res*. 2002;8:252-6.
- Gravalos C, Jimeno A. HER2 in gastric cancer: a new prognostic factor and a novel therapeutic target. *Ann Oncol*. 2008;19:1523-9.
- Hede K. Gastric cancer: trastuzumab trial results spur search for other targets. *J Natl Cancer Inst*. 2009;7;101:1306-7.
- Jørgensen JT. Targeted HER2 Treatment in Advanced Gastric Cancer. *Oncology*. 2010;78:26-33.
- Kim JW, Kim HP, Im SA, Kang S, Hur HS, Yoon YK, et al. The growth inhibitory effect of lapatinib, a dual inhibitor of EGFR and HER2 tyrosine kinase, in gastric cancer cell lines. *Cancer Lett*. 2008;18;272:296-306.
- Kim SY, Kim HP, Kim YJ, Oh do Y, Im SA, Lee D, et al. Trastuzumab inhibits the growth of human gastric cancer cell lines with HER2 amplification synergistically with cisplatin. *Int J Oncol*. 2008;32:89-95.
- Lei Y, Huang J, Zhao Q et al. The clinicopathological parameters and prognostic significance of HER2 expression in gastric cancer patients: a meta-analysis of literature. *World Journal of Surgical Oncology* 2017; 15: 68.
- Marx AH, Simon R, Sauter G. HER-2 amplification is highly homogenous in gastric cancer-rep. *Hum Pathol*. 2009;9.
- Nakajima M, Sawada H, Yamada Y, Watanabe A, Tatsumi M, Yamashita J, et al. The prognostic significance of amplification and overexpression of c-met and c-erb B-2 in human gastric carcinomas. *Cancer*. 1999;1;85:1894-902.
- Ohtsu A. Chemotherapy for metastatic gastric cancer: past, present, and future. *J Gastroenterol*. 2008;43:256-64.
- Ooi CH, Ivanova T, Wu J, Lee M, Tan IB, Tao J, et al. Oncogenic pathway combinations predict clinical prognosis in gastric cancer. *PLoS Genet*. 2009;5:e1000676.
- Rebischung C, Barnoud R, Stefani L, Faucheron JL, Mousseau M. The effectiveness of trastuzumab (Herceptin™) combined with chemotherapy for gastric carcinoma with overexpression of the c-erbB-2 protein. *Gastric Cancer*. 2005;8:249-52.
- Sakai K, Mori S, Kawamoto T, Taniguchi S, Kobori O, Morioka Y, et al. Expression of epidermal growth factor receptors on normal human gastric epithelia and gastric carcinomas. *J Natl Cancer Inst*. 1986;77:1047-52.

- Takehana T, Kunitomo K, Kono K, Kitahara F, Iizuka H, Matsumoto Y, et al. Status of c-erbB-2 in gastric adenocarcinoma: a comparative study of immunohistochemistry, fluorescence in situ hybridization and enzyme-linked immuno-sorbent assay. *Int J Cancer*. 2002 20;98:833-7.
- Tanner M, Hollmen M, Junttila TT, Kapanen AI, Tammola S, Soini Y, et al. Amplification of HER-2 in gastric carcinoma: association with Topoisomerase IIalpha gene amplification, intestinal type, poor prognosis and sensitivity to trastuzumab. *Ann Oncol*. 2005;16:273-8.
- Van Cutsem E, Bang Y-J, Fing-yi F et al. HER2 screening data from ToGA: targeting HER2 in gastric and gastroesophageal junction cancer. *Gastric Cancer*. 2015; 18:476-484.
- Yano T, Doi T, Ohtsu A, Boku N, Hashizume K, Nakanishi M, et al. Comparison of HER2 gene amplification assessed by fluorescence in situ hybridization and HER2 protein expression assessed by immunohistochemistry in gastric cancer. *Oncol Rep*. 2006;15:65-71.
- Zhang XL, Yang YS, Xu DP, Qu JH, Guo MZ, Gong Y, et al. Comparative Study on Overexpression of HER2/neu and HER3 in Gastric Cancer. *World J Surg*. 2009;33:2112-8.

Acknowledgements

We would like to thank Mr. Professor Dr. Josef Rüschoff at Targos Molecular Pathology GmbH for the generosity in contributing to this project by offering expertise as well as providing many of the images throughout this manual.

Notes

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

Notes

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

Notes

This image shows a blank sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.



Altium International Sp. z o.o.

ul. Puławska 303, 02-785 Warszawa

telefon +48 22 549 14 00

patologia.pl@altium.net

www.perlan.com.pl

www.altium.net

D74955_01

This information is subject to change without notice.

© Agilent Technologies, Inc. 2019, 2023
Published in the USA, July 04, 2023
29018 2023APR18 - ROW Version

